

**UNITED STATES BANKRUPTCY COURT
SOUTHERN DISTRICT OF NEW YORK**

In re:) Chapter 15 Case
)
FAIRFIELD SENTRY LIMITED, et al.,) Case No. 10-13164
) (CGM)
)
Debtors in Foreign Proceedings.) Jointly Administered
)
FAIRFIELD SENTRY LIMITED (IN LIQUIDATION), et al.,)
)
Plaintiffs,) Adv. Pro. No. 10-03496
) (CGM)
against –) Administratively
) Consolidated
THEODOOR GGC AMSTERDAM, et al.,)
Defendants.)
)
)
)
FAIRFIELD SENTRY LIMITED (IN LIQUIDATION) and)
FAIRFIELD SIGMA LIMITED (IN LIQUIDATION), acting)
by and through the Foreign Representatives thereof, and)
KENNETH KRYSS and GREIG MITCHELL, solely in their)
capacities as Foreign Representatives and Liquidators thereof,) Adv. Pro. No. 10-03635
) (CGM)
Plaintiffs,)
)
-against-)
)
ABN AMRO SCHWEIZ AG a/k/a ABN AMRO)
(SWITZERLAND) AG, ADLER AND CO PRIVATBANK)
AG, ALLIANZBANK SPA/UNIFORTUNE)
CONSERVATIVE SIDE POCKET, ALTERNATIVE)
INVESTMENT STRATEGIES, BANCA ARNER SA, BANCA)
UNIONE DI CREDITO, BANK HAPOALIM)
SWITZERLAND LTD., BANK JULIUS BAER & CO. LTD.,)
BANK SARASIN & CIE, BANQUE CANTONALE)
VAUDOISE, BANQUE CRAMER & CIE SA, BBVA)
(SUISSE) SA, BCV AMC DEFENSIVE AL FUND, BNP)
PARIBAS (SUISSE) SA, BNP PARIBAS (SUISSE) SA EX)
FORTIS, BNP PARIBAS (SUISSE) SA PRIVATE, BSI AG,)
BSI EX BANCA DEL GOTTARDO, CACEIS BANK)
LUXEMBOURG, CBB (BVI)/ THE ALKIMA FUND, CBT)
GEMS LOW VOL REG, COMPAGNIE BANCAIRE)
HELVETIQUE, CENTRUM BANK AG (AMS), CLARIDEN)

LEU LTD., CORNER BANCA SA, CREDIT SUISSE AG)
ZURICH, DEXIA BANQUE INTERNATIONAL A)
LUXEMBOURG, DRESDNER BANK SCHWEIZ, EFG)
BANK SA SWITZERLAND, EFG EUROFINANCIER)
D'INVEST MCL, ENDURANCE ABSOLUTE LTD.)
MASTER, FAIRFIELD INVESTMENT GCI, FAIRFIELD)
INVESTMENT FUND LTD., FALCON PRIVATE BANK,)
FIF ADVANCED LTD., FINTER BANK ZURICH,)
HARMONY CAPITAL FUND LTD., HSBC, IHAG)
HANDELSBANK AG, INCORE BANK AG, KARASEL)
ENHANCED PORTFOLIO, KARLA MULTISTRATEGIES)
LTD., LGT BANK IN LIECHTENSTEIN AG,)
LIECHTENSTEINISCHE LB REINVEST AMS, LLOYDS)
TSB BANK GENEVA, LOMBARD ODIER DARIER)
HENTSCH & CIE, LONGBOAT LTD., MASTER CAPITAL)
AND HEDGE FUND, NATIONAL BANK OF KUWAIT,)
NBK BANQUE PRIVEE SUISSE SA, PICTET & CIE, PKB)
PRIVATBANK AG, QUASAR FUNDS SPC a/k/a QUASAR)
FUND SPC CLASS A AND CLASS B CGCNV, RBC DEXIA)
INVESTOR SERVICE JULIUS BAER SICAV, RBS)
COUTTS BANK LTD., RICHOURT AAA)
MULTISTRATEGIES, ROTHSCHILD BANK AG ZURICH)
(DUBLIN) a/k/a ROTHSCHILD BANK AG, ROTHSCHILD)
BANK GENEVA (DUBLIN), ROTHSCHILD LUGANO)
DUBLIN a/k/a BANCA PRIVATA EDMOND DE)
ROTHSCHILD LUGANO S.A., EDMOND DE)
ROTHSCHILD (SUISSE) S.A., SIS SEEGANINTERSETTLE,)
SIX SIS LTD., SOCIETE GENERALE BANK & TRUST,)
SOUNDVIEW FUND, SWISSCANTO FD CENTRE)
CLIENTS A/C, T1 GLOBAL FUND LTD., UBS AG NEW)
YORK, UBS AG ZURICH, UBS JERSEY NOMINEES,)
VERWALTUNGS UND PRIVAT-BANK AG)
AKTIENGESELLSCHAFT (AMS), VORARLBERGER)
LANDES UND HYPOTHEKENBANK)
AKTIENGESELLSCHAFT and BENEFICIAL OWNERS OF)
ACCOUNTS HELD IN THE NAME OF CGC NA 1-1000,)
)
Defendants.)

**PLAINTIFFS' STATEMENT OF ISSUES TO BE PRESENTED AND
DESIGNATION OF ITEMS TO BE INCLUDED IN THE RECORD ON APPEAL**

The Plaintiffs hereby submit, pursuant to Rule 8009 of the Federal Rules of Bankruptcy Procedure and Rule 8009-1 of the Local Bankruptcy Rules for the Southern District of New York,

this statement of issues to be presented and designation of items to be included in the record on appeal with respect to Plaintiffs' appeal in the above-captioned adversary proceeding before the United States District Court for the Southern District of New York.¹

I. STATEMENT OF ISSUES TO BE PRESENTED ON APPEAL²

1. Did the Bankruptcy Court err in ruling, in its August 6, 2018 Memorandum Decision and Order,³ that the Defendants' consent to the Subscription Agreement does not constitute consent to personal jurisdiction in the U.S. Redeemer Actions?

2. Did the Bankruptcy Court err in ruling, in its December 6, 2018 Memorandum Decision,⁴ that the Section 546(e) and Section 546(g) safe harbors can apply to "purely foreign transfers" targeted for avoidance by Chapter 15 foreign representatives?

3. Did the Bankruptcy Court err in ruling, in its December 6, 2018 Memorandum Decision, that knowledge is a required element of an undervalue transaction claim under BVI law?

4. Did the Bankruptcy Court err in ruling, in its August 14, 2020 Memorandum Decision,⁵ that Plaintiffs were barred from amending their complaints to plead new Constructive Trust Claims in 12 of 29 actions against Knowledge Defendants?

¹ Plaintiffs previously appealed to the District Court in a set of administratively consolidated appeals, *Fairfield Sentry Ltd. (In Liquidation) v. Citibank NA London*, No. 19-cv-03911. That appeal has been fully briefed and pending decision since April 23, 2020.

² Defined terms carry the meaning ascribed to them in the corresponding decision or order appealed from.

³ Memorandum Decision and Order Regarding the Defendants' Motions to Dismiss for Want of Jurisdiction, *Fairfield Sentry Limited, et al. v. Theodoor GGC Amsterdam, et al.*, 10-03496-smb [ECF No. 1723].

⁴ Memorandum Decision Granting in Part and Denying in Part Defendants' Motions to Dismiss and Plaintiffs' Motions for Leave to Amend, *Fairfield Sentry Limited, et al. v. Theodoor GGC Amsterdam, et al.*, 10-03496-smb [ECF No. 1743].

⁵ Memorandum Decision Denying Plaintiffs' Motion for Leave to File Further Proposed Amended Complaints In Certain Actions, *Fairfield Sentry Limited, et al. v. Theodoor GGC Amsterdam, et al.*, 10-03496-smb [ECF No. 3046].

5. Did the Bankruptcy Court err in ruling, in its December 14, 2020 Memorandum Decision,⁶ that the Section 546(e) safe harbor bars all BVI Avoidance Claims?

II. DESIGNATION OF ITEMS TO BE INCLUDED IN THE RECORD ON APPEAL

Plaintiffs designate the following items to be included in the record on appeal. Plaintiffs designate both the redacted and unredacted versions of the documents marked with an asterisk.

A. Designated entries from the docket of *Fairfield Sentry Limited (In Liquidation) et al v. ABN AMRO Schweiz AG et al*, 10-03635-cgm.

ECF Number	Date	Docket Text
8	09/21/2010	Amended Complaint against all defendants / Complaint Filed by Kerry L. Quinn on behalf of Fairfield Sentry Limited (In Liquidation). (Quinn, Kerry) (Entered: 09/21/2010)
121	07/20/2012	Amended Complaint against HSBC, ABN AMRO Schweiz AG a/k/a ABN AMRO (Switzerland) AG, Adler and Co Privatbank AG, Adler and Co., Allianzbank SPA/Unifortune Conservative Side Pocket, Alternative Investment Strategies, Arsenal SPC, Arsenal SPC OBO Glasgow SEG Port, BBH LUX Guardian II, BBH LUX Ref Fairfield GRN, BBH Lux Guardian II a/k/a Brown Brothers Harriman, BBVA (Suisse) SA, BCV AMC Defensive Al Fund, BNP Paribas (Suisse) SA, BNP Paribas (Suisse) SA Ex Fortis, BNP Paribas (Suisse) SA Private, BSI AG, BSI Ex Banca Del Gottardo, Banca Arner SA, Banca Unione di Credito, Bank Hapoalim Switzerland Ltd., Bank Julius Baer & Co. Ltd., Bank Sarasin & Cie, Banque Cantonale Vaudoise, Banque Cramer & Cie SA, Banque Safdie SA, Barclay's Bank PLC Singapore Wealth, Barclays Bank PLC Singapore Wealth a/k/a Barclays Bank, PLC, CBB (BVI)/The Alkima Found, CBT Gems Low Vol Reg, Caceis Bank Luxembourg, Centrum Bank AG (AMS), Clariden Leu Ltd., Compagnie Bancaire Helvetique, Corner Banca SA, Credit Suisse AG, Credit Suisse AG Zurich, Dexia Banque International a Luxembourg, Dresdner Bank Schweiz, EFG Bank (Monaco) f/k/a EFG Eurofinancier DInvest MCL, EFG Bank AG a/k/a EFG Bank SA Switzerland, EFG Bank AG a/k/a EFG Bank SA Switzerland and EFG Bank (Monaco) f/k/a EFG Eurofinancier DInvest MCL., EFG Bank SA Switzerland, EFG Eurofinancier D'Invest MCL, Endurance Absolute Ltd. Master, FCL IFP Global Diversified CLS,

⁶ Memorandum Decision Granting In Part And Denying In Part Defendants' Renewed Motion To Dismiss, *Fairfield Sentry Limited, et al. v. Theodoor GGC Amsterdam, et al.*, 10-03496-smb [ECF No. 3062].

ECF Number	Date	Docket Text
		FIF Advanced Ltd., Fairfield Investment Fund Ltd., Fairfield Investment GCI, Fairfield Masters Ltd., Falcon Private Bank, Fidulex Management Inc., Finter Bank Zuirich, Harmony Capital Fund Ltd., Ihag Handelsbank AG, Incore Bank AG, JP Morgan (Suisse) SA, KBC Investments Ltd., Karasel Enhanced Portfolio, Karla Multistrategies Ltd., LGT Bank in Liechtenstein AG, Liechtensteinische LB Reinvest AMS, Lloyds TSB Bank Geneva, Lombard Odier Darier Hentsch & Cie, Longboat Ltd., Master Capital and Hedge Fund, NBK Banque Privee Suisse SA, National Bank of Kuwait, PKB Privatebank AG, Pictet & Cie, Privatbank AG, Private Space Ltd., Quasar Funds SPC a/k/a Quasar Fund SPC Class A and Class B CGCNV, Quasar Funs SPC, RBC Dexia Investor Service Julius Baer Sicav, RBS Coutts Bank Ltd., Richourt AAA Multistrategies, Rothschild Bank AG Zurich (Dublin) a/k/a Rothschild Bank AG, Rothschild Bank Geneva (Dublin), Rothschild Lugano Dublin, Rothschild Lugano Dublin a/k/a Banca Privata Edmond de Rothschild Lugano S.A., Rothschild Bank AG Zurich (Dublin), SIS Seeganintersettle, Sella Bank AG, Six SIS Ltd., Societe Generale Bank & Trust, Soundview Fund, Springer Fund of Funds Ltd., Swisscanto FD Centre Clients A/C, T1 Global Fund Ltd., Teorema Alternative Strategies, UBS AG New York, UBS AG Zurich, UBS Jersey Nominees, Union Bancaire Privee, UBP SA, Verwaltungs und Privat-Bank AG Aktiengesellschaft (AMS), Verwaltungs- und Privat-Bank AG Aktiengesellschaft, Vorarlberger Landes Und Hypothekenbank Ankiengesellscharft and Beneficial Owners of the Accounts held in the name of CGC (NA) 1-1000 /THIRD AMENDED COMPLAINT (related document(s)67, 86, 8) Filed by Kerry L. Quinn on behalf of Kenneth Krys, solely in his capacity as Foreign Representative and Liquidator thereof, Fairfield Sentry Limited (In Liquidation), Fairfield Sigma Limited (In Liquidation). (Quinn, Kerry) (Entered: 07/20/2012)
124	12/28/2012	Notice of Dismissal of Claims against Teorema Alternative Strategies filed by May Orenstein on behalf of Fairfield Sentry Limited (In Liquidation), Fairfield Sigma Limited (In Liquidation), Kenneth Krys, solely in his capacity as Foreign Representative and Liquidator thereof. (Orenstein, May) (Entered: 12/28/2012)
125	01/02/2013	Notice of Dismissal of Claims Against Springer Fund of Funds filed by May Orenstein on behalf of Fairfield Sentry Limited (In Liquidation), Fairfield Sigma Limited (In Liquidation), Kenneth Krys, solely in his capacity as Foreign Representative and Liquidator thereof. (Orenstein, May) (Entered: 01/02/2013)

ECF Number	Date	Docket Text
126	04/05/2013	Letter /Notice of Change of Law Firm Name filed by David Farrington Yates on behalf of EFG Bank AG and EFG Bank (Monaco). (Yates, David) (Entered: 04/05/2013)
128	02/04/2014	Notice of Dismissal re FCL IFP Global Diversified CLS filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation). (Molton, David) (Entered: 02/04/2014)
129	03/07/2014	Notice of Dismissal Re BBH Lux Ref Fairfield GRN filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation). (Molton, David) (Entered: 03/07/2014)
130	03/07/2014	Notice of Dismissal Re BBH Lux Guardian II a/k/a Brown Brothers Harriman filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation). (Molton, David) (Entered: 03/07/2014)
136	08/20/2014	Letter From Anthony L. Paccione, Dated 8/20/14, To The Hon. Stuart M. Bernstein Regarding The Resolution Of Potential Conflict Issues, filed by Anthony L. Paccione on behalf of BBH LUX Ref Fairfield GRN, BBH LUX Guardian II, Harmony Capital Fund Ltd., Lloyds TSB Bank Geneva, RBS Coutts Bank Ltd.. (Paccione, Anthony) (Entered: 08/20/2014)
137	05/22/2015	Notice of Dismissal Regarding Fairfield Masters Ltd. filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation). (Molton, David) (Entered: 05/22/2015)
139	09/20/2016	Statement /Notice of Filing of Proposed Amended Complaint filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation), Fairfield Sigma Limited (In Liquidation). (Attachments: # 1 Exhibit A - Proposed Amended Complaint_Clean (Redacted Version) # 2 Exhibit B - Proposed Amended Complaint_Redlined (Redacted Version)) (Molton, David) (Entered: 09/20/2016)
142	10/26/2016	Motion to Amend /Notice of Motion of the Foreign Representatives For Leave To Amend filed by Daniel J. Saval on behalf of Fairfield Sentry Limited (In Liquidation) Responses due by 1/13/2017,. (Attachments: # 1 Proposed Order) (Saval, Daniel) (Entered: 10/26/2016)
143	10/26/2016	Memorandum of Law in Support of Motion For Leave To Amend filed by Daniel J. Saval on behalf of Fairfield Sentry Limited (In Liquidation). Reply due by 1/13/2017, (Saval, Daniel) (Entered: 10/26/2016)
144	10/26/2016	Declaration of David J. Molton in Support of Motion For Leave To Amend filed by Daniel J. Saval on behalf of Fairfield Sentry Limited (In Liquidation). (Attachments: # 1 Exhibit A - Schedule of Proposed Amended Complaints # 2 Exhibit B - Citigroup Global Markets Limited Proposed Amended Complaint_REDACTE # 3 Exhibit C - Huang Proposed Amended Complaint_REDACTED) (Saval, Daniel) (Entered: 10/26/2016)

ECF Number	Date	Docket Text
145	10/26/2016	Declaration of William Hare in Support of Motion For Leave To Amend filed by Daniel J. Saval on behalf of Fairfield Sentry Limited (In Liquidation). (Attachments: # 1 Exhibit A - Sample Statement of Claim # 2 Exhibit B - Sample Statement of Defence # 3 Exhibit C - Sample Notice of Application # 4 Exhibit D - Notice of Appeal Regarding Direction # 5 Exhibit E - Application for Summary Judgment, 20 September 2011 # 6 Exhibit F - Sentrys Memorandum and Articles of Association # 7 Exhibit G - Defendants Skeleton Argument # 8 Exhibit H - Funds' Skeleton Argument # 9 Exhibit I - Funds' Skeleton Argument in Appeal # 10 Exhibit J - Defendants submissions to the Privy Council # 11 Exhibit K - Funds submissions to the Privy Council # 12 Exhibit L - Excerpts from Privy Council Record on Appea # 13 Exhibit M - Transcript of Summary Judgment Application, 10 October 2011 # 14 Exhibit N Judgment Order of Bannister J, 20 April 2011 # 15 Exhibit O - Judgment, dated 31 May 2011 # 16 Exhibit P - Judgment in respect of Application for Summary Judgment # 17 Exhibit Q - Privy Council Judgment, 16 April 2014 # 18 Exhibit R - Privy Council Order, 8 October 2014 # 19 Exhibit S - Judgment of Leon J dated 11 March 2016) (Saval, Daniel) (Entered: 10/26/2016)
146	10/26/2016	Declaration of Gabriel Moss QC in Support of Motion For Leave To Amend filed by Daniel J. Saval on behalf of Fairfield Sentry Limited (In Liquidation). (Attachments: # 1 Gabriel Moss QC - Curriculum Vitae) (Saval, Daniel) (Entered: 10/26/2016)
147*	10/28/2016	Document Under Seal Per Court Order. Notice of Filing of Proposed Amended Complaint, see Case No. 10-3496 Document No. 909 for Sealing Order. Filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation), Fairfield Sigma Limited (In Liquidation), Kenneth Krys, solely in his capacity as Foreign Representative and Liquidator thereof . (related document(s)139) (Rodriguez, Maria). (Entered: 11/01/2016)
148*	11/14/2016	Document Under Seal Per Court Order. Memorandum of Law In Support of Motion For Leave To Amend (See Lead Adversary Proceeding No.: 10-3496, Document No. 909 for Sealing Order) Filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation), Fairfield Sigma Limited (In Liquidation), Kenneth Krys, solely in his capacity as Foreign Representative and Liquidator thereof. (related document(s)143) (Suarez, Aurea). (Entered: 12/15/2016)
153*	11/14/2016	Document Under Seal Per Court Order. Declaration of David J. Molton in Support of Motion For Leave To Amend (See Lead Adversary Proceeding No.: 10-3496, Document No. 909 for Sealing Order) Filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation), Fairfield Sigma Limited (In Liquidation),

ECF Number	Date	Docket Text
		Kenneth Kryz, solely in his capacity as Foreign Representative and Liquidator thereof. (related document(s)144) (Suarez, Aurea) (Entered: 01/12/2017)
170	01/13/2017	Motion to Dismiss Adversary Proceeding , dated January 13 2017 filed by Lawrence B. Friedman on behalf of Defendants (as set forth in Appendix A to the Memorandum of Law in Opposition to Plaintiffs' Motion for Leave to Amend and In Support of the Defendants' Motion to Dismiss). (Friedman, Lawrence) (Entered: 01/13/2017)
171	01/13/2017	Memorandum of Law in Opposition to Plaintiffs' Motion for Leave to Amend and In Support of the Defendants' Motion to Dismiss, dated January 13, 2017 (related document(s)142, 170) filed by Lawrence B. Friedman on behalf of Defendants (as set forth in Appendix A to the Memorandum of Law in Opposition to Plaintiffs' Motion for Leave to Amend and In Support of the Defendants' Motion to Dismiss). (Friedman, Lawrence) (Entered: 01/13/2017)
172	01/13/2017	Declaration of Thomas J. Moloney in Opposition to Plaintiffs' Motion for Leave to Amend and in Support of Defendants' Motion to Dismiss, dated January 13, 2017 (related document(s)142, 170) filed by Lawrence B. Friedman on behalf of Defendants (as set forth in Appendix A to the Memorandum of Law in Opposition to Plaintiffs' Motion for Leave to Amend and In Support of the Defendants' Motion to Dismiss). (Attachments: # 1 Ex. A-C # 2 Ex. D-F) (Friedman, Lawrence) (Entered: 01/13/2017)
173	01/13/2017	Declaration of Simon Mortimore, QC in Opposition to Plaintiffs' Motion for Leave to Amend and in Support of the Defendants' Motion to Dismiss, dated January 13, 2017 (related document(s)142, 170) filed by Lawrence B. Friedman on behalf of Defendants (as set forth in Appendix A to the Memorandum of Law in Opposition to Plaintiffs' Motion for Leave to Amend and In Support of the Defendants' Motion to Dismiss). (Attachments: # 1 Ex. A-B # 2 Ex. C part 1 # 3 Ex. C part 2 # 4 Ex. C part 3 # 5 Ex. C part 4 # 6 Ex C part 5) (Friedman, Lawrence) (Entered: 01/13/2017)
174	01/13/2017	Declaration of Phillip Kite in Opposition to Plaintiffs' Motion for Leave to Amend and in Support of Defendants' Motion to Dismiss, dated January 12, 2017 (related document(s)142, 170) filed by Lawrence B. Friedman on behalf of Defendants (as set forth in Appendix A to the Memorandum of Law in Opposition to Plaintiffs' Motion for Leave to Amend and In Support of the Defendants' Motion to Dismiss). (Attachments: # 1 Ex. A # 2 Ex. B-N # 3 Ex. O-P # 4 Ex. Q-R) (Friedman, Lawrence) (Entered: 01/13/2017)
175	01/13/2017	Declaration of Prof. Nicolas Jeandin, dated January 11, 2017 (related document(s)142, 170) filed by Lawrence B. Friedman on behalf of Defendants (as set forth in Appendix A to the

ECF Number	Date	Docket Text
		Memorandum of Law in Opposition to Plaintiffs' Motion for Leave to Amend and In Support of the Defendants' Motion to Dismiss). (Friedman, Lawrence) (Entered: 01/13/2017)
179	01/18/2017	Declaration of Prof. Nicolas Jeandin, dated January 11, 2017 (amended to add Exhibit B) (related document(s)175) filed by Lawrence B. Friedman on behalf of Defendants (as set forth in Appendix A to the Memorandum of Law in Opposition to Plaintiffs' Motion for Leave to Amend and In Support of the Defendants' Motion to Dismiss). (Friedman, Lawrence) (Entered: 01/18/2017)
198	01/26/2017	Statement / Joinder of Banca Arner SA In The Consolidated Memorandum of Law In Opposition To Plaintiffs' Motion For Leave To Amend and In Support of Defendants' Motion To Dismiss And Supplemental Brief (related document(s)171, 170) filed by Rachel Ehrlich Albanese on behalf of Banca Arner SA. (Albanese, Rachel) (Entered: 01/26/2017)
199	01/26/2017	Declaration of Francesco Fierli (related document(s)198) filed by Rachel Ehrlich Albanese on behalf of Banca Arner SA. (Albanese, Rachel) (Entered: 01/26/2017)
200	01/26/2017	Memorandum of Law /KBC Investments Limited's Supplemental Memorandum of Law in Opposition to Plaintiffs' Motion for Leave to Amend and in Support of KBC's Motion to Dismiss (related document(s)171, 142, 170) filed by Alan Unger on behalf of KBC Investments Ltd.. (Unger, Alan) (Entered: 01/26/2017)
201	01/26/2017	Declaration of Marc Boelens in Support of KBC Investments Limited's Motion to Dismiss for Lack of Personal Jurisdiction (related document(s)171, 142, 170, 200) filed by Alan Unger on behalf of KBC Investments Ltd.. (Unger, Alan) (Entered: 01/26/2017)
203	01/27/2017	Memorandum of Law Individual Supplemental Brief of Defendants Fairfield Investment Fund Limited, Fairfield Investment GCI, and FIF Advanced Limited in Opposition to Plaintiffs' Motion for Leave to Amend and in Support of Defendants' Motion to Dismiss (related document(s)170) filed by Frederick R. Kessler on behalf of FIF Advanced Ltd., Fairfield Investment Fund Ltd., Fairfield Investment GCI. (Kessler, Frederick) (Entered: 01/27/2017)
204	01/27/2017	Supplemental Memorandum of Law (Concerning Preemption and Service) (related document(s)170) filed by William J. Sushon on behalf of Clariden Leu Ltd., Credit Suisse AG Zurich. (Sushon, William) (Entered: 01/27/2017)
205	01/27/2017	Memorandum of Law Joinder & Individual Supplemental Memorandum of Law by Allianz Bank Financial Advisors SpA in Support of Defendants Consolidated Memorandum of Law in Opposition to Plaintiffs Motion for Leave to Amend and in Support of Defendants Notice of Motion to Dismiss (related

ECF Number	Date	Docket Text
		document(s)171, 172, 173, 179, 175, 170, 174) filed by Kimberly Perrotta Cole on behalf of Allianzbank SPA/Unifortune Conservative Side Pocket. (Cole, Kimberly) (Entered: 01/27/2017)
206	01/27/2017	Motion to Dismiss Adversary Proceeding on Grounds of Forum Non Conveniens filed by David Farrington Yates on behalf of Defendants (as set forth in Appendix A to the Memorandum of Law in Opposition to Plaintiffs' Motion for Leave to Amend and In Support of the Defendants' Motion to Dismiss), EFG Bank (Monaco) f/k/a EFG Eurofinancier DInvest MCL, EFG Bank AG a/k/a EFG Bank SA Switzerland, EFG Bank AG a/k/a EFG Bank SA Switzerland and EFG Bank (Monaco) f/k/a EFG Eurofinancier DInvest MCL., EFG Bank AG and EFG Bank (Monaco), EFG Bank SA Switzerland, EFG Eurofinancier D'Invest MCL. (Attachments: # 1 Exhibit A) (Yates, David) (Entered: 01/27/2017)
207	01/27/2017	Supplemental Memorandum of Law Law of Defendants in the adversary proceedings listed on exhibit A in the Opposition to Plaintiffs' Motion for Leave to amend and in support of those Defendants' Motion to Dismiss on grounds of Forum Non Conveniens (related document(s)206, 170) filed by David Farrington Yates on behalf of Defendants (as set forth in Appendix A to the Memorandum of Law in Opposition to Plaintiffs' Motion for Leave to Amend and In Support of the Defendants' Motion to Dismiss), EFG Bank (Monaco) f/k/a EFG Eurofinancier DInvest MCL, EFG Bank AG a/k/a EFG Bank SA Switzerland, EFG Bank AG a/k/a EFG Bank SA Switzerland and EFG Bank (Monaco) f/k/a EFG Eurofinancier DInvest MCL., EFG Bank AG and EFG Bank (Monaco), EFG Bank SA Switzerland, EFG Eurofinancier D'Invest MCL. (Yates, David) (Entered: 01/27/2017)
208	01/27/2017	Declaration of Phillip Kite in support of certain Defendants' Supplemental Memorandum of Law in Opposition to Plaintiffs' Motion for Leave to Amend and in support of those Defendants' Motion to Dismiss on grounds of Forum Non Conveniens (related document(s)206) filed by David Farrington Yates on behalf of Defendants (as set forth in Appendix A to the Memorandum of Law in Opposition to Plaintiffs' Motion for Leave to Amend and In Support of the Defendants' Motion to Dismiss), EFG Bank (Monaco) f/k/a EFG Eurofinancier DInvest MCL, EFG Bank AG a/k/a EFG Bank SA Switzerland, EFG Bank AG a/k/a EFG Bank SA Switzerland and EFG Bank (Monaco) f/k/a EFG Eurofinancier DInvest MCL., EFG Bank AG and EFG Bank (Monaco), EFG Bank SA Switzerland, EFG Eurofinancier D'Invest MCL. (Attachments: # 1 Exhibit A) (Yates, David) (Entered: 01/27/2017)
209	01/27/2017	Statement /Notice of Filing of (related document(s)208, 207, 206) filed by David Farrington Yates on behalf of Defendants (as set

ECF Number	Date	Docket Text
		forth in Appendix A to the Memorandum of Law in Opposition to Plaintiffs' Motion for Leave to Amend and In Support of the Defendants' Motion to Dismiss), EFG Bank (Monaco) f/k/a EFG Eurofinancier DInvest MCL, EFG Bank AG a/k/a EFG Bank SA Switzerland, EFG Bank AG a/k/a EFG Bank SA Switzerland and EFG Bank (Monaco) f/k/a EFG Eurofinancier DInvest MCL., EFG Bank AG and EFG Bank (Monaco), EFG Bank SA Switzerland, EFG Eurofinancier D'Invest MCL. (Yates, David) (Entered: 01/27/2017)
210	01/27/2017	Statement / Notice of Joinder of SIX SIS AG (related document(s)170) filed by Andreas A. Frischknecht on behalf of SIS Seeganintersettle, Six SIS Ltd.. (Frischknecht, Andreas) (Entered: 01/27/2017)
212	01/27/2017	Motion to Dismiss Adversary Proceeding Based on the Doctrine of Res Judicata filed by David Farrington Yates on behalf of Defendants (as set forth in Appendix A to the Memorandum of Law in Opposition to Plaintiffs' Motion for Leave to Amend and In Support of the Defendants' Motion to Dismiss), EFG Bank (Monaco) f/k/a EFG Eurofinancier DInvest MCL, EFG Bank AG a/k/a EFG Bank SA Switzerland, EFG Bank AG a/k/a EFG Bank SA Switzerland and EFG Bank (Monaco) f/k/a EFG Eurofinancier DInvest MCL., EFG Bank AG and EFG Bank (Monaco), EFG Bank SA Switzerland, EFG Eurofinancier D'Invest MCL. (Attachments: # 1 Appendix A) (Yates, David) (Entered: 01/27/2017)
213	01/27/2017	Supplemental Memorandum of Law in Support of Motion to Dismiss Based on the Doctrine of Res Judicata (related document(s)212, 142, 170) filed by David Farrington Yates on behalf of Defendants (as set forth in Appendix A to the Memorandum of Law in Opposition to Plaintiffs' Motion for Leave to Amend and In Support of the Defendants' Motion to Dismiss), EFG Bank (Monaco) f/k/a EFG Eurofinancier DInvest MCL, EFG Bank AG a/k/a EFG Bank SA Switzerland, EFG Bank AG a/k/a EFG Bank SA Switzerland and EFG Bank (Monaco) f/k/a EFG Eurofinancier DInvest MCL., EFG Bank AG and EFG Bank (Monaco), EFG Bank SA Switzerland, EFG Eurofinancier D'Invest MCL. (Attachments: # 1 Appendix A # 2 Appendix B) (Yates, David) (Entered: 01/27/2017)
214	01/27/2017	Statement /Notice of Filing of (related document(s)212, 213) filed by David Farrington Yates on behalf of Defendants (as set forth in Appendix A to the Memorandum of Law in Opposition to Plaintiffs' Motion for Leave to Amend and In Support of the Defendants' Motion to Dismiss), EFG Bank (Monaco) f/k/a EFG Eurofinancier DInvest MCL, EFG Bank AG a/k/a EFG Bank SA Switzerland,

ECF Number	Date	Docket Text
		EFG Bank AG a/k/a EFG Bank SA Switzerland and EFG Bank (Monaco) f/k/a EFG Eurofinancier D'Invest MCL., EFG Bank AG and EFG Bank (Monaco), EFG Bank SA Switzerland, EFG Eurofinancier D'Invest MCL. (Yates, David) (Entered: 01/27/2017)
215	01/27/2017	Supplemental Memorandum of Law Individual Supplemental Memorandum of EFG Bank and EFG (Monaco) filed by David Farrington Yates on behalf of EFG Bank (Monaco) f/k/a EFG Eurofinancier D'Invest MCL, EFG Bank AG a/k/a EFG Bank SA Switzerland, EFG Bank AG a/k/a EFG Bank SA Switzerland and EFG Bank (Monaco) f/k/a EFG Eurofinancier D'Invest MCL., EFG Bank AG and EFG Bank (Monaco), EFG Bank SA Switzerland, EFG Eurofinancier D'Invest MCL. (Attachments: # 1 Certificate of Service) (Yates, David) (Entered: 01/27/2017)
216	01/27/2017	Declaration of Geraldine Gazo (related document(s)215) filed by David Farrington Yates on behalf of EFG Bank (Monaco) f/k/a EFG Eurofinancier D'Invest MCL, EFG Bank AG a/k/a EFG Bank SA Switzerland, EFG Bank AG a/k/a EFG Bank SA Switzerland and EFG Bank (Monaco) f/k/a EFG Eurofinancier D'Invest MCL., EFG Bank AG and EFG Bank (Monaco), EFG Bank SA Switzerland, EFG Eurofinancier D'Invest MCL. (Attachments: # 1 Exhibits 1-8) (Yates, David) (Entered: 01/27/2017)
217	01/27/2017	Supplemental Memorandum of Law in Opposition to Plaintiffs' Motion for Leave to Amend and in Support of Defendants' Motion to Dismiss (related document(s)170) filed by David M. Morris on behalf of Liechtensteinsche Landesbank AG, Centrum Bank AG (AMS), LGT Bank in Liechtenstein AG, Verwaltungs- und Privat-Bank AG Aktiengesellschaft. (Morris, David) (Entered: 01/27/2017)
218	01/27/2017	Declaration of Dr. Hilmar Hoch in Opposition to the Foreign Representatives' Motion for Leave to Amend and in Support of the Liechtenstein Banks' Motion to Dismiss (related document(s)170) filed by David M. Morris on behalf of Centrum Bank AG (AMS), LGT Bank in Liechtenstein AG, Liechtensteinsche Landesbank AG, Verwaltungs- und Privat-Bank AG Aktiengesellschaft. (Attachments: # 1 Exhibit A # 2 Exhibit B) (Morris, David) (Entered: 01/27/2017)
219	01/27/2017	Statement Notice of Joinder of T1 Global Fund Ltd. In Individual Supplemental Brief (Concerning Preemption) filed by Christopher A. Lynch on behalf of T1 Global Fund Ltd.. (Lynch, Christopher) (Entered: 01/27/2017)
220	01/27/2017	Supplemental Memorandum of Law in Opposition to Plaintiffs' Motion for Leave to Amend and in Support of Defendants' Motion to Dismiss filed by George W. Shuster Jr. on behalf of BSI AG, BSI Ex Banca Del Gottardo, Corner Banca SA, Finter Bank Zuirich,

ECF Number	Date	Docket Text
		Ihag Handelsbank AG, PKB Privatebank AG. (Shuster, George) (Entered: 01/27/2017)
221	01/27/2017	Motion to Join /Supplemental Memorandum of Law in Opposition to Plaintiffs' Motion for Leave to Amend and in Support of Defendants' Motion to Dismiss (related document(s)170) filed by Richard B. Levin on behalf of Unifortune Conservative Side Pocket. (Levin, Richard) (Entered: 01/27/2017)
222	01/27/2017	Motion to Join / Individual Supplemental Brief Of Defendant Vorarlberger Landes- Und Hypothekenbank AG (related document(s)170) filed by Stephen M. Harnik on behalf of Vorarlberger Landes Und Hypothekenbank Ankiengesellscharft and Beneficial Owners of the Accounts held in the name of CGC (NA) 1-1000. (Harnik, Stephen) (Entered: 01/27/2017)
223	01/27/2017	Motion to Join / Notice of Joinder of SIX SIS AG (related document(s)170) filed by Andreas A. Frischknecht on behalf of SIS Seeganinterstetle, Six SIS Ltd.. (Frischknecht, Andreas) (Entered: 01/27/2017)
224	01/27/2017	Motion to Join IN DEFENDANTS' MOTION TO DISMISS AND IN OPPOSITION TO PLAINTIFFS' MOTION FOR LEAVE TO AMEND, (related document(s)171, 172, 173, 208, 179, 207, 175, 206, 170, 174) filed by Anthony L. Paccione on behalf of RBS Coutts Bank Ltd.. (Paccione, Anthony) (Entered: 01/27/2017)
225	01/27/2017	Declaration of Reinhard Kaindl in Support of Defendant Vorarlberger Landes- Und Hypothekenbank AG's Motion to Dismiss (related document(s)222) filed by Stephen M. Harnik on behalf of Vorarlberger Landes Und Hypothekenbank Ankiengesellscharft and Beneficial Owners of the Accounts held in the name of CGC (NA) 1-1000. (Attachments: # 1 Exhibit) (Harnik, Stephen) (Entered: 01/27/2017)
226	01/27/2017	Motion to Join IN DEFENDANTS' MOTION TO DISMISS AND IN OPPOSITION TO PLAINTIFFS' MOTION FOR LEAVE TO AMEND, (related document(s)212, 171, 172, 173, 213, 208, 179, 207, 175, 206, 170, 174) filed by Anthony L. Paccione on behalf of Harmony Capital Fund Ltd.. (Paccione, Anthony) (Entered: 01/27/2017)
227	01/27/2017	Motion to Join IN DEFENDANTS' MOTION TO DISMISS AND IN OPPOSITION TO PLAINTIFFS' MOTION FOR LEAVE TO AMEND, (related document(s)171, 172, 173, 179, 175, 170, 174) filed by Anthony L. Paccione on behalf of Lloyds TSB Bank Geneva. (Paccione, Anthony) (Entered: 01/27/2017)
228	01/27/2017	Supplemental Memorandum of Law of HSBC Defendants in Opposition to Plaintiffs' Motion for Leave to Amend and In Support of the Defendants' Motion to Dismiss (related document(s)142, 170) filed by Thomas J. Moloney on behalf of the Defendants listed

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		on Exhibit A to the HSBC Defendants' Supplemental Memorandum of Law. (Moloney, Thomas) (Entered: 01/27/2017)
229	01/27/2017	Declaration of Thomas J. Moloney in Support of the HSBC Defendants' Supplemental Memorandum of Law in Opposition to Plaintiffs' Motion for Leave to Amend and in Support of Defendants' Motion to Dismiss (related document(s)142, 170) filed by Thomas J. Moloney on behalf of the Defendants listed on Exhibit A to the HSBC Defendants' Supplemental Memorandum of Law. (Moloney, Thomas) (Entered: 01/27/2017)
230	01/27/2017	Declaration of Roy C. Durling, dated January 27, 2017 (related document(s)142, 170) filed by Thomas J. Moloney on behalf of the Defendants listed on Exhibit A to the HSBC Defendants' Supplemental Memorandum of Law. (Moloney, Thomas) (Entered: 01/27/2017)
231	01/27/2017	Motion to Join Supplemental Brief of RBC Investor Services Bank SA (related document(s)206, 170) filed by Philip R. Schatz on behalf of RBC Dexia Investor Service Julius Baer Sicav. (Schatz, Philip) (Entered: 01/27/2017)
233	01/27/2017	Motion to Join Opposition to Plaintiffs' Motion for Leave to Amend and in Support of Defendants' Motion to Dismiss / Supplemental Memorandum of Law in Opposition to Plaintiffs' Motion for Leave to Amend and in Support of Defendants' Motion to Dismiss (related document(s)170) filed by Jeffrey T. Scott on behalf of Bank Sarasin & Cie. (Scott, Jeffrey) (Entered: 01/27/2017)
234	01/27/2017	Supplemental Memorandum of Law of BNPP Defendants Jointly in Support of the Fairfield Defendants' Consolidated Motion to Dismiss and in Opposition to Liquidators' Motion for Leave to Amend (related document(s)142, 170) filed by Breon Peace on behalf of BNP Paribas (Suisse) SA, BNP Paribas (Suisse) SA Ex Fortis, BNP Paribas (Suisse) SA Private. (Peace, Breon) (Entered: 01/27/2017)
236	01/27/2017	Supplemental Memorandum of Law In Support of The Credit Suisse Defendants' Motion to Dismiss (related document(s)170) filed by William J. Sushon on behalf of Clariden Leu Ltd., Credit Suisse AG Zurich. (Sushon, William) (Entered: 01/27/2017)
237	01/27/2017	Motion to Join / DEFENDANTS UBS AG AND UBS JERSEY NOMINEES NOTICE OF JOINDER IN CONSOLIDATED BRIEFING AND INDIVIDUAL SUPPLEMENTAL BRIEF IN OPPOSITION TO PLAINTIFFS MOTION FOR LEAVE TO AMEND AND IN SUPPORT OF MOTION TO DISMISS (related document(s)212, 206, 170) filed by Marshall R. King on behalf of UBS AG New York, UBS Jersey Nominees. (King, Marshall) (Entered: 01/27/2017)

ECF Number	Date	Docket Text
238	01/27/2017	Declaration of William J. Sushon In Support of The Supplemental Memorandum Of Law In Support Of The Swiss Credit Suisse Defendants' Motion To Dismiss (related document(s)170) filed by William J. Sushon on behalf of Clariden Leu Ltd., Credit Suisse AG Zurich. (Attachments: # 1 Exhibit 1 # 2 Exhibit 2) (Sushon, William) (Entered: 01/27/2017)
239	01/27/2017	Declaration OF RAIMUND ROEHRICH (related document(s)237) filed by Marshall R. King on behalf of UBS AG New York, UBS Jersey Nominees. (King, Marshall) (Entered: 01/27/2017)
240	01/27/2017	Declaration of Gerhard Niggli In Support Of Supplemental Motion To Dismiss (related document(s)170) filed by William J. Sushon on behalf of Clariden Leu Ltd., Credit Suisse AG Zurich. (Attachments: # 1 Exhibit 1 # 2 Exhibit 2 # 3 Exhibit 3 # 4 Exhibit 4 # 5 Exhibit 5 # 6 Exhibit 6 # 7 Exhibit 7 # 8 Exhibit 8 # 9 Exhibit 9 # 10 Exhibit 10) (Sushon, William) (Entered: 01/27/2017)
241	01/27/2017	Motion to Join / Supplemental Memorandum of Law in Support of Defendants' Motion to Dismiss (related document(s)171, 172, 173, 208, 179, 207, 175, 206, 170, 174) filed by Christopher Harris on behalf of Union Bancaire Privee, UBP SA. (Harris, Christopher) (Entered: 01/27/2017)
254	02/01/2017	Declaration (Amended) (this is being filed solely for the purpose of adding Exhibit B) (related document(s)208, 206) filed by David Farrington Yates on behalf of Defendants (as set forth in Appendix A to the Memorandum of Law in Opposition to Plaintiffs' Motion for Leave to Amend and In Support of the Defendants' Motion to Dismiss), EFG Bank (Monaco) f/k/a EFG Eurofinancier DInvest MCL, EFG Bank AG a/k/a EFG Bank SA Switzerland, EFG Bank AG a/k/a EFG Bank SA Switzerland and EFG Bank (Monaco) f/k/a EFG Eurofinancier DInvest MCL., EFG Bank AG and EFG Bank (Monaco), EFG Bank SA Switzerland, EFG Eurofinancier D'Invest MCL. (Attachments: # 1 Exhibit A # 2 Exhibit B) (Yates, David) (Entered: 02/01/2017)
255	02/01/2017	Notice of Dismissal (Corrected Notice of Dismissal of Claims Against Private-Space Ltd) (related document(s)211, 252) filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation), Fairfield Sigma Limited (In Liquidation). (Molton, David) (Entered: 02/01/2017)
257	02/03/2017	Supplemental Memorandum of Law Concerning Preemption and Service (this document is being refiled solely for purposes of attaching new Appendix A) (related document(s)170) filed by William J. Sushon on behalf of Clariden Leu Ltd., Credit Suisse AG Zurich. (Attachments: # 1 Exhibit A) (Sushon, William) (Entered: 02/03/2017)

ECF Number	Date	Docket Text
258	02/03/2017	Declaration of Gerhard Niggli in Support of Supplemental Motion to Dismiss (this document is being refiled solely for purposes of attaching new Appendix A) (related document(s)240, 170) filed by William J. Sushon on behalf of Clariden Leu Ltd., Credit Suisse AG Zurich. (Attachments: # 1 Appendix A # 2 Exhibit 1 # 3 Exhibit 2 # 4 Exhibit 3 # 5 Exhibit 4 # 6 Exhibit 5 # 7 Exhibit 6 # 8 Exhibit 7 # 9 Exhibit 8 # 10 Exhibit 9 # 11 Exhibit 10) (Sushon, William) (Entered: 02/03/2017)
269	04/03/2017	Opposition Brief / Foreign Representatives' Memorandum of Law in Opposition to Defendants' Consolidated Memorandum of Law and in Further Support of Foreign Representatives' Motion For Leave to Amend Complaints [REDACTED] (related document(s)227, 220, 222, 221, 212, 226, 203, 228, 171, 234, 233, 215, 237, 210, 213, 216, 219, 199, 204, 217, 205, 214, 170, 257, 241, 236, 224, 200, 198, 231, 223) filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation), Fairfield Sigma Limited (In Liquidation). (Molton, David) (Entered: 04/03/2017)
270	04/03/2017	Declaration of David J. Molton in Further Support of Motion For Leave to Amend and in Opposition to Defendants' Motion to Dismiss [REDACTED] (related document(s)269) filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation), Fairfield Sigma Limited (In Liquidation). (Molton, David) (Entered: 04/03/2017)
271	04/03/2017	Declaration of Gabriel Moss QC in Further Support of Motion For Leave to Amend and in Opposition to Defendants' Motion to Dismiss (related document(s)269) filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation), Fairfield Sigma Limited (In Liquidation). (Attachments: # 1 Exhibit A - Part 1 # 2 Exhibit A - Part 2 # 3 Exhibit A - Part 3 # 4 Exhibit A - Part 4 # 5 Exhibit A - Part 5 # 6 Exhibit A - Part 6 # 7 Exhibit A - Part 7) (Molton, David) (Entered: 04/03/2017)
272	04/04/2017	Opposition Brief / Foreign Representatives' Memorandum of Law In Opposition to Bank J. Safra Sarasin AG's Memorandum of Law in Opposition to Plaintiffs' Motion For Leave to Amend and in Support of Its Motion to Dismiss and in Further Support of Foreign Representatives' Motion For Leave to Amend Complaints (related document(s)171, 233, 269, 207, 206, 170) filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation). (Molton, David) (Entered: 04/04/2017)
275	04/10/2017	Opposition Brief /Liquidators' Opposition to Certain Defendants' Motion to Dismiss on Grounds of Forum Non Conveniens (related document(s)227, 229, 228, 230, 171, 269, 237, 239, 258, 207, 238, 206, 170, 241, 236, 224, 231) filed by Marek P Krzyzowski on behalf of Fairfield Sentry Limited (In Liquidation), Fairfield Sigma

ECF Number	Date	Docket Text
		Limited (In Liquidation). (Krzyzowski, Marek) (Entered: 04/10/2017)
276	04/10/2017	Declaration of David J. Molton in Support of the Liquidators' Opposition to Certain Defendants' Motion to Dismiss on Grounds of Forum Non Conveniens (related document(s)275) filed by Marek P Krzyzowski on behalf of Fairfield Sentry Limited (In Liquidation), Fairfield Sigma Limited (In Liquidation). (Krzyzowski, Marek) (Entered: 04/10/2017)
277	04/10/2017	Declaration of William Hare in Support of the Liquidators' Opposition to Certain Defendants' Motion to Dismiss on Grounds of Forum Non Conveniens (related document(s)275) filed by Marek P Krzyzowski on behalf of Fairfield Sentry Limited (In Liquidation), Fairfield Sigma Limited (In Liquidation). (Attachments: # 1 Compendium - Part 2) (Krzyzowski, Marek) (Entered: 04/10/2017)
278	04/10/2017	Opposition Brief / CORRECTED Foreign Representatives' Memorandum of Law in Opposition to the Individual Supplemental Brief (Concerning Preemption and Service) and in Further Support of Foreign Representatives' Motion For Leave to Amend Complaints (related document(s)227, 226, 171, 215, 219, 204, 170, 257, 224, 223) filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation), Fairfield Sigma Limited (In Liquidation). (Molton, David) (Entered: 04/10/2017)
279	04/10/2017	Opposition Brief / Foreign Representatives' Memorandum of Law In Opposition to PI Defendants' Supplemental Memorandum in Support of Defendants' Motion to Dismiss Based on the Doctrine of Res Judicata and in Further Support of Foreign Representatives' Motion for Leave to Amend Complaints (related document(s)227, 212, 171, 269, 237, 213, 214, 170) filed by Marek P Krzyzowski on behalf of Fairfield Sentry Limited (In Liquidation), Fairfield Sigma Limited (In Liquidation). (Krzyzowski, Marek) (Entered: 04/10/2017)
280	04/10/2017	Declaration of David J. Molton in Support of Opposition to PI Defendants' Supplemental Memorandum in Support of Defendants' Motion to Dismiss Based on the Doctrine of Res Judicata and in Further Support of Foreign Representatives' Motion for Leave to Amend Complaints (related document(s)279) filed by Marek P Krzyzowski on behalf of Fairfield Sentry Limited (In Liquidation), Fairfield Sigma Limited (In Liquidation). (Krzyzowski, Marek) (Entered: 04/10/2017)
281	04/10/2017	Declaration /Further Supplemental Declaration of Gabriel Moss QC in Opposition to PI Defendants' Supplemental Memorandum in Support of Defendants' Motion to Dismiss Based on the Doctrine of Res Judicata and in Further Support of Foreign Representatives'

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		Motion for Leave to Amend Complaints (related document(s)279) filed by Marek P Krzyzowski on behalf of Fairfield Sentry Limited (In Liquidation), Fairfield Sigma Limited (In Liquidation). (Krzyzowski, Marek) (Entered: 04/10/2017)
282	04/11/2017	Opposition Brief /Foreign Representatives' Memorandum of Law In Opposition to Supplemental Memorandum of Law in Support of Defendants' Motion to Dismiss and in Further Support of Foreign Representatives' Motion For Leave to Amend Complaints (related document(s)171, 269, 207, 206, 170, 241, 275) filed by Marek P Krzyzowski on behalf of Fairfield Sentry Limited (In Liquidation), Fairfield Sigma Limited (In Liquidation). (Krzyzowski, Marek) (Entered: 04/11/2017)
283	04/11/2017	Opposition Brief / Foreign Representatives' Memorandum of Law in Opposition to Defendants UBS AG and UBS Jersey Nominees' Notice of Joinder in Consolidated Briefing and Individual Supplemental Brief in Opposition to Plaintiffs' Motion For Leave to Amend and in Support of Motion to Dismiss and in Further Support of Foreign Representatives' Motion For Leave to Amend Complaints (related document(s)212, 171, 269, 237, 239, 213, 207, 206, 170, 275, 279) filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation), Fairfield Sigma Limited (In Liquidation). (Molton, David) (Entered: 04/11/2017)
284	04/12/2017	Opposition Brief /Foreign Representatives' Memorandum of Law In Opposition to Joinder of Banca Arner SA in the Consolidated Memorandum of Law in Opposition to Plaintiffs' Motion For Leave to Amend and in Support of Defendants' Motion to Dismiss and Supplemental Brief and in Further Support of Foreign Representatives' Motion For Leave to Amend Complaints (related document(s)171, 269, 199, 170, 198) filed by Marek P Krzyzowski on behalf of Fairfield Sentry Limited (In Liquidation), Fairfield Sigma Limited (In Liquidation). (Krzyzowski, Marek) (Entered: 04/12/2017)
285	04/12/2017	Opposition Brief /Foreign Representatives' Memorandum of Law In Opposition to KBC Investments Limited's Supplemental Memorandum of Law in Opposition to Plaintiffs' Motion For Leave to Amend and in Support of KBC's Motion to Dismiss and in Further Support of Foreign Representatives' Motion For Leave to Amend Complaints (related document(s)171, 269, 170, 200, 201) filed by Marek P Krzyzowski on behalf of Fairfield Sentry Limited (In Liquidation), Fairfield Sigma Limited (In Liquidation). (Krzyzowski, Marek) (Entered: 04/12/2017)
286	04/12/2017	Opposition Brief / Foreign Representatives' Memorandum of Law in Opposition to FIBCPR Swiss Defendants' Supplemental Memorandum of Law in Opposition to Plaintiffs' Motion For Leave

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		to Amend and in Support of Defendants' Motion to Dismiss and in Further Support of Foreign Representatives' Motion For Leave to Amend Complaints (related document(s)220, 171, 269, 278, 170) filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation), Fairfield Sigma Limited (In Liquidation). (Molton, David) (Entered: 04/12/2017)
287	04/12/2017	Opposition Brief /Foreign Representatives' Memorandum of Law In Opposition to the Individual Supplemental Brief of Defendants Fairfield Investment Fund Limited, Fairfield Investment GCI, and FIF Advanced Limited in Opposition to Plaintiffs' Motion For Leave to Amend and in Support of Defendants' Motion to Dismiss and in Further Support of Foreign Representatives' Motion For Leave to Amend Complaints (related document(s)203, 171, 269, 170) filed by Marek P Krzyzowski on behalf of Fairfield Sentry Limited (In Liquidation), Fairfield Sigma Limited (In Liquidation). (Krzyzowski, Marek) (Entered: 04/12/2017)
288	04/12/2017	Opposition Brief / Foreign Representatives' Memorandum of Law in Opposition to Joinder & Individual Supplemental Memorandum of Law by Allianz Bank Financial Advisors SpA in Support of Defendants' Consolidated Memorandum of Law in Opposition to Plaintiffs' Motion For Leave to Amend and in Support of Defendants' Notice of Motion to Dismiss and in Further Support of Foreign Representatives' Motion For Leave to Amend Complaints (related document(s)171, 269, 205, 170) filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation), Fairfield Sigma Limited (In Liquidation). (Molton, David) (Entered: 04/12/2017)
289	04/12/2017	Opposition Brief / Foreign Representatives' Memorandum of Law in Opposition to Individual Supplemental Brief of RBC Investor Services Bank, S.A. and in Further Support of Foreign Representatives' Motion For Leave to Amend Complaints (related document(s)171, 269, 207, 206, 170, 275, 231) filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation), Fairfield Sigma Limited (In Liquidation). (Molton, David) (Entered: 04/12/2017)
290	04/12/2017	Opposition Brief / Foreign Representatives' Memorandum of Law in Opposition to the Individual Supplemental Brief of Defendant Vorarlberger Landes- Und Hypothekenbank AG in Opposition to Plaintiffs' Motion For Leave to Amend and in Support of Defendant's Motion to Dismiss and in Further Support of Foreign Representatives' Motion For Leave to Amend Complaints (related document(s)171, 269, 207, 206, 170, 241, 275) filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation), Fairfield Sigma Limited (In Liquidation). (Molton, David) (Entered: 04/12/2017)

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291	04/12/2017	Opposition Brief / Foreign Representatives' Memorandum of Law in Opposition to the Liechtenstein Defendants' Individual Supplemental Memorandum of Law in Opposition to Plaintiffs Motion for Leave to Amend and in Support of Defendants Motion to Dismiss and in Further Support of Foreign Representatives' Motion For Leave to Amend Complaints (related document(s)171, 269, 217, 170, 218) filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation), Fairfield Sigma Limited (In Liquidation). (Molton, David) (Entered: 04/12/2017)
292	04/12/2017	Opposition Brief /Foreign Representatives' Memorandum of Law In Opposition to Unifortune Conservative Side Pocket's Supplemental Memorandum of Law in Opposition to Plaintiffs' Motion for Leave to Amend and in Support of Defendants' Motion to Dismiss and in Further Support of Foreign Representatives' Motion for Leave to Amend Complaints (related document(s)221, 171, 269, 170, 275) filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation), Fairfield Sigma Limited (In Liquidation). (Molton, David) (Entered: 04/12/2017)
293	04/12/2017	Opposition Brief / Foreign Representatives' Memorandum of Law In Opposition to the Individual Supplemental Memorandum of EFG Bank and EFG Bank (Monaco) and in Further Support of Foreign Representatives' Motion for Leave to Amend Complaints (related document(s)171, 269, 215, 216, 170) filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation), Fairfield Sigma Limited (In Liquidation). (Molton, David) (Entered: 04/12/2017)
294	04/12/2017	Opposition Brief / Foreign Representatives' Memorandum of Law In Opposition to the Supplemental Memorandum of Law of BNPP Defendants Jointly in Support of the Fairfield Defendants' Consolidated Motion to Dismiss and in Opposition to Liquidators' Motion For Leave to Amend and in Further Support of Foreign Representatives' Motion for Leave to Amend Complaints [REDACTED] (related document(s)171, 234, 269, 170) filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation), Fairfield Sigma Limited (In Liquidation). (Molton, David) (Entered: 04/12/2017)
295	04/12/2017	Opposition Brief / Foreign Representatives' Memorandum of Law In Opposition to The HSBC Defendants' Supplemental Memorandum of Law in Opposition to Plaintiffs' Motion for Leave to Amend and in Support of Defendants' Motion to Dismiss and in Further Support of Foreign Representatives' Motion for Leave to Amend Complaints (related document(s)229, 228, 230, 171, 269, 207, 206, 170, 275) filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation), Fairfield Sigma Limited (In Liquidation). (Molton, David) (Entered: 04/12/2017)

ECF Number	Date	Docket Text
296	04/12/2017	Declaration of David J. Molton in Support of Foreign Representatives' Opposition to The HSBC Defendants' Supplemental Memorandum of Law in Opposition to Plaintiffs' Motion for Leave to Amend and in Support of Defendants' Motion to Dismiss and in Further Support of Foreign Representatives' Motion for Leave to Amend Complaints [REDACTED] (related document(s)295) filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation), Fairfield Sigma Limited (In Liquidation). (Molton, David) (Entered: 04/12/2017)
302	05/18/2017	Opposition Brief / Foreign Representatives' Memorandum of Law In Opposition to the Supplemental Memorandum of Law in Support of the Swiss Credit Suisse Defendants' Motion to Dismiss and in Further Support of Foreign Representatives' Motion For Leave to Amend Complaints (related document(s)171, 269, 271, 278, 258, 204, 207, 277, 238, 206, 170, 257, 236, 275, 270, 276) filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation), Fairfield Sigma Limited (In Liquidation). (Molton, David) (Entered: 05/18/2017)
305	05/30/2017	Reply Memorandum of Law in Further Support of Defendants' Motion to Dismiss (related document(s)170) filed by Lawrence B. Friedman on behalf of Defendants (as set forth in Appendix A to the Memorandum of Law in Opposition to Plaintiffs' Motion for Leave to Amend and In Support of the Defendants' Motion to Dismiss). (Friedman, Lawrence) (Entered: 05/30/2017)
306	06/06/2017	Second Declaration of Simon Mortimore, QC, in Further Support of Defendants' Motion to Dismiss, dated May 26, 2017 (amended solely for the purpose of adding Exhibit B) (related document(s)170) filed by Lawrence B. Friedman on behalf of Defendants (as set forth in Appendix A to the Memorandum of Law in Opposition to Plaintiffs' Motion for Leave to Amend and In Support of the Defendants' Motion to Dismiss). (Friedman, Lawrence) (Entered: 06/06/2017)
310	06/08/2017	Statement / Joinder of Banca Arner S.A. In The Consolidated Reply Memorandum Of Law In Further Opposition To Plaintiffs' Motion For Leave To Amend And In Further Support Of Defendants' Motion To Dismiss (related document(s)170) filed by Rachel Ehrlich Albanese on behalf of Banca Arner SA. (Albanese, Rachel) (Entered: 06/08/2017)
315	06/09/2017	Motion to Join / Allianz Bank Financial Advisors SpAs Reply Memorandum of Law in Further Support of Its Joinder & Individual Supplemental Memorandum of Law in Opposition to Plaintiffs Motion for Leave to Amend & in Support of Defendants Motion to Dismiss (related document(s)171, 205, 170, 288) filed by Kimberly

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		Perrotta Cole on behalf of Allianzbank SPA/Unifortune Conservative Side Pocket. (Cole, Kimberly) (Entered: 06/09/2017)
316	06/09/2017	Supplemental Reply to Motion / Unifortune Conservative Side Pocket's Supplemental Reply in Opposition to Plaintiffs' Motion for Leave to Amend and in Support of Defendants' Motion to Dismiss (related document(s)142, 170) filed by Richard B. Levin on behalf of Unifortune Conservative Side Pocket. (Levin, Richard) (Entered: 06/09/2017)
317	06/09/2017	Supplemental Reply to Motion (Liechtenstein Defendants' Individual Supplemental Reply Memorandum of Law in Opposition to Plaintiffs' Motion for Leave to Amend and in Support of Defendants' Motion to Dismiss) (related document(s)142, 170) filed by David M. Morris on behalf of Centrum Bank AG (AMS), LGT Bank in Liechtenstein AG, Liechtensteinsche Landesbank AG, Verwaltungs- und Privat-Bank AG Aktiengesellschaft. (Morris, David) (Entered: 06/09/2017)
318	06/09/2017	Supplemental Declaration of Dr. Hilmar Hoch in Opposition to the Foreign Representatives' Motion for Leave to Amend and in Support of the Liechtenstein Banks' Motion to Dismiss (related document(s)142, 170) filed by David M. Morris on behalf of Centrum Bank AG (AMS), LGT Bank in Liechtenstein AG, Liechtensteinsche Landesbank AG, Verwaltungs- und Privat-Bank AG Aktiengesellschaft. (Morris, David) (Entered: 06/09/2017)
319	06/09/2017	Reply Memorandum of Law (Supplemental) in Opposition to Plaintiffs' Motion for Leave to Amend and in Support of Defendants' Motion to Dismiss (related document(s)142, 170) filed by George W. Shuster Jr. on behalf of BSI AG, BSI Ex Banca Del Gottardo, Corner Banca SA, Finter Bank Zuirich, Ihag Handelsbank AG, PKB Privatebank AG. (Attachments: # 1 Certificate of Service) (Shuster, George) (Entered: 06/09/2017)
320	06/09/2017	Reply Memorandum of Law / Supplemental Reply Memorandum of Law of Defendants in the Adversary Proceedings Listed on Exhibit A in Opposition to Plaintiffs' Motion for Leave to Amend and in Further Support of those Defendants' Motion to Dismiss on Grounds of Forum Non Conveniens (related document(s)206) filed by Justin Kattan on behalf of Defendants (as set forth in Appendix A to the Memorandum of Law in Opposition to Plaintiffs' Motion for Leave to Amend and In Support of the Defendants' Motion to Dismiss), EFG Bank (Monaco) f/k/a EFG Eurofinancier DInvest MCL, EFG Bank AG a/k/a EFG Bank SA Switzerland, EFG Bank AG a/k/a EFG Bank SA Switzerland and EFG Bank (Monaco) f/k/a EFG Eurofinancier DInvest MCL., EFG Bank AG and EFG Bank (Monaco), EFG Bank SA Switzerland, EFG Eurofinancier D'Invest MCL. (Attachments: # 1 Exhibit A # 2 Exhibit B # 3 Exhibit C # 4

ECF Number	Date	Docket Text
		Exhibit D # 5 Exhibit E # 6 Exhibit F # 7 Exhibit G # 8 Exhibit H) (Kattan, Justin) (Entered: 06/09/2017)
321	06/09/2017	Declaration / Declaration of Brian Lacy in Further Support of the Motion of the Defendants Listed on Exhibit A to Dismiss on Grounds of Forum Non Conveniens (related document(s)206) filed by Justin Kattan on behalf of Defendants (as set forth in Appendix A to the Memorandum of Law in Opposition to Plaintiffs' Motion for Leave to Amend and In Support of the Defendants' Motion to Dismiss), EFG Bank (Monaco) f/k/a EFG Eurofinancier DInvest MCL, EFG Bank AG a/k/a EFG Bank SA Switzerland, EFG Bank AG a/k/a EFG Bank SA Switzerland and EFG Bank (Monaco) f/k/a EFG Eurofinancier DInvest MCL., EFG Bank AG and EFG Bank (Monaco), EFG Bank SA Switzerland, EFG Eurofinancier D'Invest MCL. (Attachments: # 1 Exhibit A # 2 Exhibit B # 3 Exhibit C) (Kattan, Justin) (Entered: 06/09/2017)
322	06/09/2017	Reply Memorandum of Law (related document(s)231) filed by Anthony L. Paccione on behalf of RBC Dexia Investor Service Julius Baer Sicav. (Paccione, Anthony) (Entered: 06/09/2017)
323	06/09/2017	Statement /Notice of Filing (related document(s)320, 321, 206) filed by Justin Kattan on behalf of EFG Bank (Monaco) f/k/a EFG Eurofinancier DInvest MCL, EFG Bank AG a/k/a EFG Bank SA Switzerland, EFG Bank AG a/k/a EFG Bank SA Switzerland and EFG Bank (Monaco) f/k/a EFG Eurofinancier DInvest MCL., EFG Bank AG and EFG Bank (Monaco), EFG Bank SA Switzerland, EFG Eurofinancier D'Invest MCL. (Kattan, Justin) (Entered: 06/09/2017)
324	06/09/2017	Reply Memorandum of Law Supplemental Reply Memorandum in Support of Defendants' Motion to Dismiss Based on the Doctrine of Res Judicata (related document(s)212) filed by Justin Kattan on behalf of Defendants (as set forth in Appendix A to the Memorandum of Law in Opposition to Plaintiffs' Motion for Leave to Amend and In Support of the Defendants' Motion to Dismiss), EFG Bank (Monaco) f/k/a EFG Eurofinancier DInvest MCL, EFG Bank AG a/k/a EFG Bank SA Switzerland, EFG Bank AG a/k/a EFG Bank SA Switzerland and EFG Bank (Monaco) f/k/a EFG Eurofinancier DInvest MCL., EFG Bank AG and EFG Bank (Monaco), EFG Bank SA Switzerland, EFG Eurofinancier D'Invest MCL. (Attachments: # 1 Appendix A # 2 Appendix B) (Kattan, Justin) (Entered: 06/09/2017)
325	06/09/2017	Statement /Notice of Filing (related document(s)212, 324) filed by Justin Kattan on behalf of EFG Bank (Monaco) f/k/a EFG Eurofinancier DInvest MCL, EFG Bank AG a/k/a EFG Bank SA Switzerland, EFG Bank AG a/k/a EFG Bank SA Switzerland and EFG Bank (Monaco) f/k/a EFG Eurofinancier DInvest MCL., EFG

ECF Number	Date	Docket Text
		Bank AG and EFG Bank (Monaco), EFG Bank SA Switzerland, EFG Eurofinancier D'Invest MCL. (Kattan, Justin) (Entered: 06/09/2017)
326	06/09/2017	Declaration of Andrew Pullinger, dated June 8, 2017, with Exhibits A and B (related document(s)170) filed by Thomas J. Moloney on behalf of the Defendants listed on Exhibit A to the HSBC Defendants' Supplemental Memorandum of Law. (Moloney, Thomas) (Entered: 06/09/2017)
327	06/09/2017	Supplemental Memorandum of Law in Further Support of Defendants' Motion to Dismiss, dated June 9, 2017, with Exhibit A (related document(s)170) filed by Thomas J. Moloney on behalf of the Defendants listed on Exhibit A to the HSBC Defendants' Supplemental Memorandum of Law. (Moloney, Thomas) (Entered: 06/09/2017)
328	06/09/2017	Reply to Motion Individual Supplemental Reply Brief of Defendants Fairfield Investment Fund Limited, Fairfield Investment GCI, and FIF Advanced Limited in Further Support of Defendants' Motion to Dismiss (related document(s)170) filed by Frederick R. Kessler on behalf of FIF Advanced Ltd., Fairfield Investment Fund Ltd., Fairfield Investment GCI. (Kessler, Frederick) (Entered: 06/09/2017)
330	06/09/2017	Memorandum of Law Individual Supplemental Reply Memorandum of EFG Bank (related document(s)215, 170) filed by Justin Kattan on behalf of EFG Bank (Monaco) f/k/a EFG Eurofinancier D'Invest MCL, EFG Bank AG a/k/a EFG Bank SA Switzerland, EFG Bank AG a/k/a EFG Bank SA Switzerland and EFG Bank (Monaco) f/k/a EFG Eurofinancier D'Invest MCL., EFG Bank AG and EFG Bank (Monaco), EFG Bank SA Switzerland, EFG Eurofinancier D'Invest MCL. (Kattan, Justin) (Entered: 06/09/2017)
331	06/09/2017	Supplemental Reply to Motion in Further Support of Defendants Motion to Dismiss (related document(s)233, 206, 170) filed by Jeffrey T. Scott on behalf of Bank Sarasin & Cie. (Scott, Jeffrey) (Entered: 06/09/2017)
332	06/09/2017	Reply Memorandum of Law Supplemental Reply Brief in Further Support of Defendants' Motion to Dismiss (related document(s)222, 170) filed by Stephen M. Harnik on behalf of Vorarlberger Landes Und Hypothekenbank Ankiengesellscharft and Beneficial Owners of the Accounts held in the name of CGC (NA) 1-1000. (Harnik, Stephen) (Entered: 06/09/2017)
333	06/09/2017	Reply to Motion - Defendants UBS AG and UBS Jersey Nominees Notice of Joinder in Consolidated Reply Briefing and Individual Supplemental Reply Brief in Opposition to Plaintiffs Motion for Leave to Amend and in Further Support of Motion to Dismiss

ECF Number	Date	Docket Text
		(related document(s)212, 206, 170) filed by Marshall R. King on behalf of UBS AG New York, UBS Jersey Nominees. (King, Marshall) (Entered: 06/09/2017)
334	06/09/2017	Supplemental Memorandum of Law /Supplemental Reply Memorandum of Law of BNPP Defendants Jointly in Further Support of the Fairfield Defendants' Consolidated Reply Memorandum of Law in Further Opposition to Liquidators' Motion for Leave to Amend and in Further Support of Defendants' Motion to Dismiss, dated June 9, 2017 (related document(s)142, 170) filed by Ari MacKinnon on behalf of BNP Paribas (Suisse) SA, BNP Paribas (Suisse) SA Ex Fortis, BNP Paribas (Suisse) SA Private. (MacKinnon, Ari) (Entered: 06/09/2017)
339	06/15/2017	Supplemental Reply to Motion / Supplemental Reply Memorandum of Law in Further Support of Defendants' Motion to Dismiss (related document(s)206, 170, 241) filed by Christopher Harris on behalf of Union Bancaire Privee, UBP SA. (Attachments: # 1 Certificate of Service) (Harris, Christopher) (Entered: 06/15/2017)
344	07/14/2017	Notice of Dismissal of Claims Against KBC Investments Ltd. filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation). (Molton, David) (Entered: 07/14/2017)
345	07/17/2017	Memorandum of Law (Supplemental Reply Memorandum of Law In Further Support of The Swiss Credit Suisse Defendants' Motion to Dismiss) (related document(s)170) filed by William J. Sushon on behalf of Clariden Leu Ltd., Credit Suisse AG. (Sushon, William) (Entered: 07/17/2017)
346	07/17/2017	Declaration of William J. Sushon in Further Support of the Swiss Credit Suisse Defendants' Motion to Dismiss (related document(s)170) filed by William J. Sushon on behalf of Clariden Leu Ltd., Credit Suisse AG. (Attachments: # 1 Exhibit A # 2 Exhibit B # 3 Exhibit C) (Sushon, William) (Entered: 07/17/2017)
349*	08/09/2017	Document Under Seal Per Court Order. Foreign Representatives' Memorandum of Law In Opposition to the Supplemental Memorandum of Law of BNPP Defendants Jointly in Support of the Fairfield Defendants' Consolidated Motion to Dismiss and in Opposition to Liquidators' Motion For Leave to Amend and in Further Support of Foreign Representatives' Motion for Leave to Amend Complaints (See Lead Adversary Proceeding No.: 10-3496, Document No.: 909 for Sealing Order) Filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation), Fairfield Sigma Limited (In Liquidation), Kenneth Krys, solely in his capacity as Foreign Representative and Liquidator thereof. (related document(s)171, 234, 269, 294, 170) (Suarez, Aurea). (Entered: 10/05/2017)

ECF Number	Date	Docket Text
350*	08/09/2017	Document Under Seal Per Court Order. Declaration of David J. Molton in Support of Foreign Representatives' Opposition to The HSBC Defendants' Supplemental Memorandum of Law in Opposition to Plaintiffs' Motion for Leave to Amend and in Support of Defendants' Motion to Dismiss and in Further Support of Foreign Representatives' Motion for Leave to Amend Complaints (See Lead Adversary Proceeding No.: 10-3496, Document No.: 909 for Sealing Order) Filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation), Fairfield Sigma Limited (In Liquidation), Kenneth Krys, solely in his capacity as Foreign Representative and Liquidator thereof. (related document(s)296) (Suarez, Aurea). (Entered: 10/06/2017)
380*	08/09/2017	Document Under Seal Per Court Order. Foreign Representatives' Memorandum of Law in Opposition to Defendants' Consolidated Memorandum of Law and in Further Support of Foreign Representatives' Motion For Leave to Amend Complaints Filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation), Fairfield Sigma Limited (In Liquidation).(see Lead Adversary Proceeding No. 10-3496, Document No. 909 for Sealing Order) (related document(s)269) (Cantrell, Deirdra) (Entered: 10/11/2017)
381*	08/09/2017	Document Under Seal Per Court Order. Declaration of David J. Molton in Further Support of Motion For Leave to Amend and in Opposition to Defendants' Motion to Dismiss Filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation), Fairfield Sigma Limited (In Liquidation). (see Lead Adversary Proceeding No. 10-3496, Document No. 909 for Sealing Order)(related document(s)270) (Cantrell, Deirdra). (Entered: 10/11/2017)
371	10/11/2017	Clerk's Entry of Default against BANQUE CRAMER & CIE SA (related document(s)354) (McCaffrey, Dawn) (Entered: 10/11/2017)
372	10/11/2017	Clerk's Entry of Default against CBT GEMS LOW VOL REG (related document(s)355) (McCaffrey, Dawn) (Entered: 10/11/2017)
373	10/11/2017	Clerk's Entry of Default against SWISSCANTO FD CENTRE CLIENTS A/C (related document(s)360) (McCaffrey, Dawn) (Entered: 10/11/2017)
374	10/11/2017	Clerk's Entry of Default against DEXIA BANQUE INTERNATIONAL A LUXEMBOURG (related document(s)356) (McCaffrey, Dawn) (Entered: 10/11/2017)
375	10/11/2017	Clerk's Entry of Default against ALTERNATIVE INVESTMENT STRATEGIES (related document(s)352) (McCaffrey, Dawn) (Entered: 10/11/2017)

ECF Number	Date	Docket Text
376	10/11/2017	Clerk's Entry of Default against BANCA UNIONE DI CREDITO (related document(s)353) (McCaffrey, Dawn) (Entered: 10/11/2017)
377	10/11/2017	Clerk's Entry of Default against KARASEL ENHANCED PORTFOLIO (related document(s)357) (McCaffrey, Dawn) (Entered: 10/11/2017)
378	10/11/2017	Clerk's Entry of Default against Master Capital and Hedge Fund (related document(s)359) (McCaffrey, Dawn) (Entered: 10/11/2017)
379	10/11/2017	Clerk's Entry of Default against LONGBOAT LTD. (related document(s)358) (McCaffrey, Dawn) (Entered: 10/11/2017)
382	10/12/2017	Clerk's Entry of Default against ADLER AND CO PRIVATBANK AG (related document(s)351) (McCaffrey, Dawn) (Entered: 10/12/2017)
395	10/16/2017	Clerk's Entry of Default against SELLA BANK AG (related document(s)383) (McCaffrey, Dawn) (Entered: 10/16/2017)
399	12/05/2017	Clerk's Entry of Default against CBB (BVI)/ THE ALKIMA FUND (related document(s)397) (McCaffrey, Dawn) (Entered: 12/05/2017)
405	02/09/2018	So Ordered Stipulation Signed On 2/8/2018. Re: Vacate Entry Of Default (Barrett, Chantel) (Entered: 02/09/2018)
406	02/13/2018	Motion to Join in Consolidated Motion to Dismiss filed by defendants in Fairfield Sentry Limited (in Liquidation) et al. v. Theodoor GGC Amsterdam, et al., Adv. Pro. No. 10-03496 (ECF Doc. Nos. 959-964, 991, 1456, 1457) and pursuant to Order entered on 2/8/18 (ECF related doc. 405) (related document(s)170) filed by Jeff E. Butler on behalf of Dexia Banque International a Luxembourg. (Butler, Jeff) (Entered: 02/13/2018)
411	05/24/2018	**REVISED ENTRY TO INCLUDE EXHIBIT** So Ordered Stipulation And Agreed Order Between The Plaintiff And Defendants Signed On 5/23/2018 Re: To Vacate Entry Of Default, (related document(s)410, 409). (Mercado, Tracey) (Entered: 05/24/2018)
412	05/30/2018	Motion to Join / Notice of Joinder in Consolidated Motion to Dismiss (related document(s)170) filed by David Farrington Yates on behalf of Banca Unione di Credito. (Yates, David) (Entered: 05/30/2018)
418	09/12/2018	So Ordered Stipulation Signed On 9/12/2018. Re: Shareholder Name Change (related document(s)417) (Barrett, Chantel) (Entered: 09/12/2018)
430	03/27/2019	Notice of Settlement of an Order Implementing December 6, 2018 Memorandum Decision Granting in Part and Denying in Part Defendants Motions to Dismiss and Plaintiffs Motions for Leave to Amend filed by David Molton on behalf of Fairfield Sentry Limited

ECF Number	Date	Docket Text
		(In Liquidation), Fairfield Sigma Limited (In Liquidation), Kenneth Krys, solely in his capacity as Foreign Representative and Liquidator thereof. (Attachments: # 1 Exhibit 1 - Proposed Order)(Molton, David) (Entered: 03/27/2019)
431	04/15/2019	So Ordered Stipulation Between The Plaintiffs And Defendants Signed On 4/15/2019 Re: Granting In Part And Denying In Part Moving Defendants' Motions To Dismiss And Plaintiffs' Motion For Leave To Amend, (related document(s)430). (Mercado, Tracey) (Entered: 04/15/2019)
432	05/14/2019	Notice of Appeal filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation), Fairfield Sigma Limited (In Liquidation), Kenneth Krys, solely in his capacity as Foreign Representative and Liquidator thereof. (Attachments: # 1 Schedule A # 2 Exhibit A # 3 Exhibit B # 4 Exhibit C)(Molton, David) (Entered: 05/14/2019)
466	01/09/2020	Amended Complaint against ABN AMRO Schweiz AG a/k/a ABN AMRO (Switzerland) AG, Adler and Co Privatbank AG, Allianzbank SPA/Unifortune Conservative Side Pocket, Alternative Investment Strategies, BBVA (Suisse) SA, BCV AMC Defensive AI Fund, BNP Paribas (Suisse) SA, BNP Paribas (Suisse) SA Ex Fortis, BNP Paribas (Suisse) SA Private, BSI AG, BSI Ex Banca Del Gottardo, Banca Unione di Credito, Bank Hapoalim Switzerland Ltd., Bank Julius Baer & Co. Ltd., Bank Sarasin & Cie, Banque Cantonale Vaudoise, Banque Cramer & Cie SA, CBB (BVI)/The Alkima Found, CBT Gems Low Vol Reg, Caceis Bank Luxembourg, Centrum Bank AG (AMS), Clariden Leu Ltd., Compagnie Bancaire Helvetique, Corner Banca SA, Credit Suisse AG Zurich, Dexia Banque International a Luxembourg, Dresdner Bank Schweiz, EFG Bank SA Switzerland, EFG Eurofinancier D'Invest MCL, Endurance Absolute Ltd. Master, FIF Advanced Ltd., Fairfield Investment Fund Ltd., Fairfield Investment GCI, Falcon Private Bank, Finter Bank Zurich, HSBC, Harmony Capital Fund Ltd., IHAG Handelsbank AG, Incore Bank AG, Karasel Enhanced Portfolio, Karla Multistrategies Ltd., LGT Bank in Liechtenstein AG, Liechtensteinische LB Reinvest AMS, Lloyds TSB Bank Geneva, Lombard Odier Darier Hentsch & Cie, Longboat Ltd., Master Capital and Hedge Fund, NBK Banque Privee Suisse SA, National Bank of Kuwait, PKB Privatebank AG, Pictet & Cie, Quasar Funds SPC a/k/a Quasar Fund SPC Class A and Class B CGCNV, RBC Dexia Investor Service Julius Baer Sicav, RBS Coutts Bank Ltd., Richourt AAA Multistrategies, Rothschild Bank AG Zurich (Dublin) a/k/a Rothschild Bank AG, Rothschild Bank Geneva (Dublin), Rothschild Lugano Dublin a/k/a Banca Privata Edmond de Rothschild Lugano S.A., SIS

ECF Number	Date	Docket Text
		Seeganintersettle, Six SIS Ltd., Societe Generale Bank & Trust, Soundview Fund, Swisscanto FD Centre Clients A/C, T1 Global Fund Ltd., UBS AG New York, UBS AG Zurich, UBS Jersey Nominees, Verwaltungs und Privat-Bank AG Aktiengesellschaft (AMS), Vorarlberger Landes Und Hypothekenbank Ankiengesellscharft and Beneficial Owners of the Accounts held in the name of CGC (NA) 1-1000 Fourth Amended Complaint (related document(s)431) Filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation), Fairfield Sigma Limited (In Liquidation), Kenneth Krys, solely in his capacity as Foreign Representative and Liquidator thereof. (Molton, David)
468	01/16/2020	Statement - Notice of Filing of Further Proposed Amended Complaint filed by David Elsberg on behalf of Fairfield Sentry Limited (In Liquidation). (Attachments: # 1 Exhibit 1 to Notice of Filing # 2 Exhibit 2 to Notice of Filing) (Elsberg, David)
483	03/20/2020	Declaration IN SUPPORT (related document(s)482) filed by Jascha D. Preuss on behalf of LGT Bank in Liechtenstein AG, Liechtensteinische LB Reinvest AMS, Verwaltungs und Privat-Bank AG Aktiengesellschaft (AMS). (Attachments: # 1 Exhibit A # 2 Exhibit B1 # 3 Exhibit B2 # 4 Exhibit C1 # 5 Exhibit C2 # 6 Exhibit D1 # 7 Exhibit D2 # 8 Exhibit E1 # 9 Exhibit E2 # 10 Exhibit E3) (Preuss, Jascha)
484	03/20/2020	Declaration (related document(s)482) filed by Stephen M. Harnik on behalf of Vorarlberger Landes Und Hypothekenbank Ankiengesellscharft and Beneficial Owners of the Accounts held in the name of CGC (NA) 1-1000. (Attachments: # 1 Exhibit A # 2 Exhibit B # 3 Exhibit C # 4 Exhibit D) (Harnik, Stephen)
485	03/21/2020	Supplemental Memorandum of Law / Supplemental Memorandum of Swiss Financial Institution Defendants In Support of Defendants' Renewed Motion to Dismiss filed by Marshall R. King on behalf of UBS AG New York, UBS AG Zurich. (Attachments: # 1 Appendix A # 2 Appendix B # 3 Exhibit A - FBC List # 4 Exhibit B - Letter # 5 Exhibit C - Letter # 6 Exhibit D - Letter) (King, Marshall)
486	03/22/2020	Declaration of Elizabeth Vincens in Support of the Fairfield Defendants' Consolidated Motion to Dismiss (related document(s)482) filed by Elizabeth Vincens on behalf of Caceis Bank Luxembourg. (Attachments: # 1 Exhibit 1 # 2 Exhibit 2 # 3 Exhibit 3 # 4 Exhibit 4 # 5 Exhibit 5 # 6 Exhibit 6 # 7 Exhibit 7 # 8 Exhibit 8 # 9 Exhibit 9 # 10 Exhibit 10 # 11 Exhibit 11 # 12 Exhibit 12 # 13 Exhibit 13 # 14 Exhibit 14 # 15 Exhibit 15) (Vicens, Elizabeth)
487	03/22/2020	Statement / Notice of Filing of Exhibits for Supplement Memorandum of Law, Dkt. No. 485, filed by Marshall R. King on behalf of UBS AG New York, UBS AG Zurich. (Attachments:

ECF Number	Date	Docket Text
		# 1 Appendix A # 2 Appendix B # 3 Exhibit 1 # 4 Exhibit 2 # 5 Exhibit 3 # 6 Exhibit 4 # 7 Exhibit 5 # 8 Exhibit 6 # 9 Exhibit 7 # 10 Exhibit 8 # 11 Exhibit 9 # 12 Exhibit 10 # 13 Exhibit 11 # 14 Exhibit 12 # 15 Exhibit 13 # 16 Exhibit 14 # 17 Exhibit 15 # 18 Exhibit 16 # 19 Exhibit 17 # 20 Exhibit 18 # 21 Exhibit 19 # 22 Exhibit 20 # 23 Exhibit 21 # 24 Exhibit 22 # 25 Exhibit 23 # 26 Exhibit 24 # 27 Exhibit 25 # 28 Exhibit 26 # 29 Exhibit 27 # 30 Exhibit 28 # 31 Exhibit 29 # 32 Exhibit 30 # 33 Exhibit 31 # 34 Exhibit 32 # 35 Exhibit 33 # 36 Exhibit 34 # 37 Exhibit 35 # 38 Exhibit 36 # 39 Exhibit 37 # 40 Exhibit 38 # 41 Exhibit 39 # 42 Exhibit 40 # 43 Exhibit 41 # 44 Exhibit 42 # 45 Exhibit 43 # 46 Exhibit 44 # 47 Exhibit 45 # 48 Exhibit 46 # 49 Exhibit 47 # 50 Exhibit 48 # 51 Exhibit 49 # 52 Exhibit 50 # 53 Exhibit 51 # 54 Exhibit 52 # 55 Exhibit 53 # 56 Exhibit 54 # 57 Exhibit 55 # 58 Exhibit 56 # 59 Exhibit 57 # 60 Exhibit 58 # 61 Exhibit 59 # 62 Exhibit 60 # 63 Exhibit 61 # 64 Exhibit 62 # 65 Exhibit 63) (King, Marshall)
488	03/23/2020	Supplemental Memorandum of Law Of Luxembourg Defendants In Support Of Defendants' Renewed Motion To Dismiss, With Appendices (related document(s)482) filed by Anthony L. Paccione on behalf of RBC Investor Services Bank, S.A.. (Paccione, Anthony)
489	03/23/2020	Statement /Notice Of Filing Of Exhibits To Supplemental Memorandum Of Luxembourg Defendants In Support Of Defendants' Renewed Motion To Dismiss, With Appendices (related document(s)482) filed by Anthony L. Paccione on behalf of RBC Investor Services Bank, S.A.. (Attachments: # 1 Exhibit A # 2 Exhibit B # 3 Exhibit C) (Paccione, Anthony)
490	03/23/2020	Supplemental Memorandum of Law Allianz Bank's Supplemental Memorandum of Law in Further Support of Defendants' Renewed Motion to Dismiss filed by Jonathan D. Cogan on behalf of Allianzbank SPA/Unifortune Conservative Side Pocket. (Attachments: # 1 Exhibit A) (Cogan, Jonathan)
491	03/23/2020	Declaration of Jonathan D. Cogan in Support of Allianz Bank's Supplemental Memorandum of Law in Support of Defendants' Renewed Motion To Dismiss filed by Jonathan D. Cogan on behalf of Allianzbank SPA/Unifortune Conservative Side Pocket. (Attachments: # 1 Exhibit 1 # 2 Exhibit 2 # 3 Exhibit 3) (Cogan, Jonathan)
492	03/23/2020	Supplemental Memorandum of Law of Luxembourg Defendants in Support of Defendants' Renewed Motion to Dismiss (related document(s)482) filed by Elizabeth Vicens on behalf of Caceis Bank Luxembourg. (Vicens, Elizabeth)

ECF Number	Date	Docket Text
493	03/23/2020	Statement / Notice of Filing of Exhibits to Supplemental Memorandum of Luxembourg Defendants in Support of Defendants' Renewed Motion to Dismiss (related document(s)482) filed by Elizabeth Vicens on behalf of Caceis Bank Luxembourg. (Attachments: # 1 Exhibit A # 2 Exhibit B # 3 Exhibit C) (Vicens, Elizabeth)
494	03/23/2020	Supplemental Memorandum of Law / Swiss and Monegasque EFG Defendants' Supplemental Memorandum of Law in Support of Defendants' Renewed Motion to Dismiss filed by David Farrington Yates on behalf of BSI AG, BSI Ex Banca Del Gottardo, Banca Unione di Credito, EFG Bank (Monaco) f/k/a EFG Eurofinancier DInvest MCL, EFG Bank AG a/k/a EFG Bank SA Switzerland. (Attachments: # 1 Exhibit A) (Yates, David)
495	03/23/2020	Declaration of D. Farrington Yates in Support of the Swiss and Monegasque EFG Defendants' Supplemental Memorandum of Law in Support of Defendants' Renewed Motion to Dismiss filed by David Farrington Yates on behalf of BSI AG, BSI Ex Banca Del Gottardo, Banca Unione di Credito, EFG Bank (Monaco) f/k/a EFG Eurofinancier DInvest MCL, EFG Bank AG a/k/a EFG Bank SA Switzerland. (Attachments: # 1 Exhibit 1 # 2 Exhibit 2 # 3 Exhibit 3 # 4 Exhibit 4 # 5 Exhibit 5 # 6 Exhibit 6 # 7 Exhibit 7) (Yates, David)
496	03/23/2020	Declaration of Marshall R. King in Support of Defendants' Renewed Motion to Dismiss (related document(s)482) filed by Marshall R. King on behalf of UBS AG New York, UBS AG Zurich, UBS Jersey Nominees. (Attachments: # 1 Exhibit 1 # 2 Exhibit 2 # 3 Exhibit 3 # 4 Exhibit 3A # 5 Exhibit 4 # 6 Exhibit 5 # 7 Exhibit 6 # 8 Exhibit 7 # 9 Exhibit 8 # 10 Exhibit 9 # 11 Exhibit 10 # 12 Exhibit 11 # 13 Exhibit 12 # 14 Exhibit 12A # 15 Exhibit 13 # 16 Exhibit 14 # 17 Exhibit 14A # 18 Exhibit 15 # 19 Exhibit 15A # 20 Exhibit 16 # 21 Exhibit 17) (King, Marshall)
497	03/24/2020	Memorandum of Law /Supplemental Memorandum Of Luxembourg Defendants In Support Of Defendants' Renewed Motion To Dismiss, With Appendices (Corrected Signature Block) (related document(s)488) filed by Anthony L. Paccione on behalf of RBC Investor Services Bank, S.A.. (Paccione, Anthony)
498	03/24/2020	Statement /Notice Of Filing Of Exhibits To Supplemental Memorandum Of Luxembourg Defendants In Support Of Defendants' Renewed Motion To Dismiss, With Appendices (Corrected Signature Block) (related document(s)489) filed by Anthony L. Paccione on behalf of RBC Investor Services Bank, S.A.. (Paccione, Anthony)

ECF Number	Date	Docket Text
519	02/26/2021	So Ordered Stipulation signed on 2/26/2021 RE: Granting in Part and Denying in Part Moving Defendants' Second Consolidated Motion to Dismiss (related document(s)518, 482). (Gomez, Jessica)
525	03/24/2021	Partial Final Judgment signed on 3/24/2021 Dismissing Adversary Case with Prejudice. (related document(s)519) (Rouzeau, Anatin)
529	03/31/2021	Notice of Appeal (related document(s)525, 519) filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation), Fairfield Sigma Limited (In Liquidation), Kenneth Krys, solely in his capacity as Foreign Representative and Liquidator thereof. (Attachments: # 1 Schedule A # 2 Exhibit A # 3 Exhibit B # 4 Exhibit C # 5 Exhibit D # 6 Exhibit E # 7 Exhibit F # 8 Supplement Civil Action Cover Sheet)(Molton, David)

B. Designated entries from the docket of *Fairfield Sentry Limited, et al. v. Theodoor GGC Amsterdam, et al.*, 10-03496-cgm ((APN 10-03496) (Lead Case))

ECF Number	Date	Docket Text
922	10/21/2016	Motion to Amend / Notice of Motion of the Foreign Representatives For Leave To Amend filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation) Responses due by 1/13/2017,. (Attachments: # 1 Proposed Order) (Molton, David) (Entered: 10/21/2016)
923	10/21/2016	Memorandum of Law in Support of Motion For Leave To Amend (related document(s)922) filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation). Objections due by 1/13/2017, (Molton, David) (Entered: 10/21/2016)
924	10/21/2016	Declaration of David J. Molton in Support of Motion For Leave To Amend (related document(s)922, 923) filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation). (Attachments: # 1 Exhibit A - Schedule of Proposed Amended Complaints # 2 Exhibit B - Citigroup Global Markets Limited Proposed Amended Complaint_REDACTED # 3 Exhibit C - Huang Proposed Amended Complaint_REDACTED) (Molton, David) (Entered: 10/21/2016)
925	10/21/2016	Declaration of William Hare in Support of Motion For Leave To Amend (related document(s)923, 922) filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation). (Attachments: # 1 Exhibit A - Sample Statement of Claim # 2 Exhibit B - Sample Statement of Defence # 3 Exhibit C - Sample Notice of Application # 4 Exhibit D - Notice of Appeal Regarding Direction # 5 Exhibit E - Application for Summary Judgment, 20 September 2011 # 6 Exhibit F - Sentrys Memorandum and Articles of

ECF Number	Date	Docket Text
		Association # 7 Exhibit G -Defendants Skeleton Argument # 8 Exhibit H - Funds' Skeleton Argument # 9 Exhibit I - Funds' Skeleton Argument in Appeal # 10 Exhibit J - Defendants submissions to the Privy Council # 11 Exhibit K - Funds submissions to the Privy Council # 12 Exhibit L - Excerpts from Privy Council Record on Appeal # 13 Exhibit M - Transcript of Summary Judgment Application, 10 October 2011 # 14 Exhibit N - Judgment Order of Bannister J, 20 April 2011 # 15 Exhibit O - Judgment, dated 31 May 2011 # 16 Exhibit P - Judgment in respect of Application for Summary Judgment # 17 Exhibit Q - Privy Council Judgment, 16 April 2014 # 18 Exhibit R - Privy Council Order, 8 October 2014 # 19 Exhibit S - Judgment of Leon J dated 11 March 2016) (Molton, David) (Entered: 10/21/2016)
926	10/21/2016	Declaration of Gabriel Moss QC in Support of Motion For Leave To Amend (related document(s)922, 923) filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation). (Attachments: # 1 Gabriel Moss QC - Curriculum Vitae) (Molton, David) (Entered: 10/21/2016)
929*	10/28/2016	Document Under Seal Per Court Order. Notice of Filing of Proposed Amended Complaint, See Case No. 10-3496 Document No. 909 Sealing Order Filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation) , Fairfield Sigma Limited (In Liquidation) , Kenneth Krys, solely in his capacity as Foreign Representative and Liquidator thereof . (related document(s)915) (Cappiello, Karen). (Entered: 10/31/2016)
933*	11/14/2016	Document Under Seal Per Court Order. Memorandum of Law In Support of Motion For Leave To Amend Filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation), Fairfield Sigma Limited (In Liquidation), and Kenneth Krys, solely in his capacity as Foreign Representative and Liquidator thereof. (related document(s)909, 923) (Suarez, Aurea). (Entered: 12/15/2016)
942*	11/14/2016	Document Under Seal Per Court Order. Declaration of David J. Molton in Support of Motion For Leave To Amend, Filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation), Fairfield Sigma Limited (In Liquidation), Kenneth Krys, solely in his capacity as Foreign Representative and Liquidator thereof. (related document(s)924, 909) (Suarez, Aurea) (Entered: 01/11/2017)
930	12/02/2016	Letter to the Honorable Stuart M. Bernstein re: recent ECCA developments Filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation). (Molton, David) (Entered: 11/30/2016)
931	12/02/2016	Letter to Judge Bernstein from Christopher R. Harris in Response to November 30, 2016 Letter of David Molton (related document(s)930) Filed by Christopher Harris on behalf of ABN

ECF Number	Date	Docket Text
		AMRO Bank (Switzerland) A.G., ABN AMRO Bank N.V. f/k/a Fortis Bank (Nederland) N.V., ABN AMRO Fund Services (Isle of Man) Nominees Limited, f/k/a Fortis (Isle Of Man) Nominees Limited, ABN AMRO Fund Services Bank (Cayman) Ltd. f/k/a Fortis Bank Cayman Ltd., ABN AMRO Global Custody, ABN AMRO Global Custody Services N.V. f/k/a Fortis Global Custody Services N.V.. (Harris, Christopher) (Entered: 12/02/2016)
959	01/13/2017	Motion to Dismiss Adversary Proceeding , dated January 13, 2017 filed by Thomas J. Moloney on behalf of Defendants (as set forth in Appendix A to the Memorandum of Law in Opposition to Plaintiffs' Motion for Leave to Amend and in Support of the Defendants' Motion to Dismiss). (Moloney, Thomas) (Entered: 01/13/2017)
960	01/13/2017	Memorandum of Law in Opposition to Plaintiffs' Motion for Leave to Amend and in Support of the Defendants' Motion to Dismiss, dated January 13, 2017 (related document(s)959, 922) filed by Thomas J. Moloney on behalf of Defendants (as set forth in Appendix A to the Memorandum of Law in Opposition to Plaintiffs' Motion for Leave to Amend and in Support of the Defendants' Motion to Dismiss). (Moloney, Thomas) (Entered: 01/13/2017)
961	01/13/2017	Declaration of Thomas J. Moloney in Opposition to Plaintiffs' Motion for Leave to Amend and in Support of Defendants' Motion to Dismiss, dated January 13, 2017 (related document(s)959, 922) filed by Thomas J. Moloney on behalf of Defendants (as set forth in Appendix A to the Memorandum of Law in Opposition to Plaintiffs' Motion for Leave to Amend and in Support of the Defendants' Motion to Dismiss). (Attachments: # 1 Exhibits A-C # 2 Exhibits D-F) (Moloney, Thomas) (Entered: 01/13/2017)
962	01/13/2017	Declaration of Simon Mortimore, QC in Opposition to Plaintiffs' Motion for Leave to Amend and in Support of the Defendants' Motion to Dismiss, dated January 13, 2017 (related document(s)959, 922) filed by Thomas J. Moloney on behalf of Defendants (as set forth in Appendix A to the Memorandum of Law in Opposition to Plaintiffs' Motion for Leave to Amend and in Support of the Defendants' Motion to Dismiss). (Attachments: # 1 Exhibits A-B # 2 Exhibit C part 1 # 3 Exhibit C part 2 # 4 Exhibit C part 3 # 5 Exhibit C part 4 # 6 Exhibit C part 5) (Moloney, Thomas) (Entered: 01/13/2017)
963	01/13/2017	Declaration of Phillip Kite in Opposition to Plaintiffs' Motion for Leave to Amend and in Support of Defendants' Motion to Dismiss, dated January 12, 2017 (related document(s)959, 922) filed by Thomas J. Moloney on behalf of Defendants (as set forth in Appendix A to the Memorandum of Law in Opposition to

ECF Number	Date	Docket Text
		Plaintiffs' Motion for Leave to Amend and in Support of the Defendants' Motion to Dismiss). (Attachments: # 1 Exhibit A # 2 Exhibit B-N # 3 Exhibit O-P # 4 Exhibit Q-R) (Moloney, Thomas) (Entered: 01/13/2017)
964	01/13/2017	Declaration of Prof. Nicolas Jeandin, dated January 11, 2017 (related document(s)959, 922) filed by Thomas J. Moloney on behalf of Defendants (as set forth in Appendix A to the Memorandum of Law in Opposition to Plaintiffs' Motion for Leave to Amend and in Support of the Defendants' Motion to Dismiss). (Moloney, Thomas) (Entered: 01/13/2017)
991	01/18/2017	Declaration of Prof. Nicolas Jeandin, dated January 11, 2017 (amended to add Exhibit B) (related document(s)964) filed by Thomas J. Moloney on behalf of Defendants (as set forth in Appendix A to the Memorandum of Law in Opposition to Plaintiffs' Motion for Leave to Amend and in Support of the Defendants' Motion to Dismiss). (Moloney, Thomas) (Entered: 01/18/2017)
1079	01/26/2017	Motion to Dismiss Adversary Proceeding filed by Dwight Yellen on behalf of Kiangsu Chekiang and Shanghai Residents (H.K.) Association. (Attachments: # 1 Certification of Dwight Yellen # 2 Exhibit A - Original Complaint # 3 Exhibit B - Affidavit of Service # 4 Exhibit C - Notice of Appearance # 5 Exhibit D - Proposed Amended Complaint # 6 Memorandum of Law) (Yellen, Dwight) (Entered: 01/26/2017)
1083	01/26/2017	Supplemental Memorandum of Law Concerning Preemption and Service (related document(s)959) filed by Michael Zeb Landsman on behalf of Sumitomo Trust & Banking Co., LTD - Alternative Investing Unit Global Markets. (Landsman, Michael) (Entered: 01/26/2017)
1107	01/27/2017	Motion to Dismiss Adversary Proceeding on Grounds of Forum Non Conveniens filed by Marc J. Gottridge on behalf of Barclays Bank (Suisse) S.A., Barclays Bank S.A., Barclays Private Bank & Trust Ltd.. (Attachments: # 1 Exhibit A) (Gottridge, Marc) (Entered: 01/27/2017)
1109	01/27/2017	Supplemental Memorandum of Law of Defendants in the adversary proceedings listed on exhibit A in the Opposition to Plaintiffs' Motion for Leave to amend and in support of those Defendants' Motion to Dismiss on grounds of Forum Non Conveniens (related document(s)959, 1107) filed by Marc J. Gottridge on behalf of Barclays Bank (Suisse) S.A., Barclays Bank S.A., Barclays Private Bank & Trust Ltd.. (Gottridge, Marc) (Entered: 01/27/2017)
1111	01/27/2017	Declaration of Phillip Kite in support of certain Defendants' Supplemental Memorandum of Law in Opposition to Plaintiffs'

ECF Number	Date	Docket Text
		Motion for Leave to Amend and in support of those Defendants' Motion to Dismiss on grounds of Forum Non Conveniens (related document(s)1107) filed by Marc J. Gottridge on behalf of Barclays Bank (Suisse) S.A., Barclays Bank S.A., Barclays Private Bank & Trust Ltd.. (Attachments: # 1 Exhibit A) (Gottridge, Marc) (Entered: 01/27/2017)
1131	01/27/2017	Motion to Dismiss Adversary Proceeding Based on the Doctrine of Res Judicata filed by Mark Nelson Parry on behalf of Deutsche Bank (Suisse) SA Geneve, Deutsche Bank Trust Company Americas. (Attachments: # 1 Appendix A) (Parry, Mark) (Entered: 01/27/2017)
1133	01/27/2017	Supplemental Memorandum of Law in Support of Motion to Dismiss Based on the Doctrine of Res Judicata (related document(s)959, 922, 1131) filed by Mark Nelson Parry on behalf of Deutsche Bank (Suisse) SA Geneve, Deutsche Bank Trust Company Americas. (Attachments: # 1 Appendix A # 2 Appendix B) (Parry, Mark) (Entered: 01/27/2017)
1134	01/27/2017	Statement / Notice of Joinder of SIX SIS AG (Adv. Pro. No. 10-03869) (related document(s)959) filed by Andreas A. Frischknecht on behalf of Six Sis AG. (Frischknecht, Andreas) (Entered: 01/27/2017)
1208	01/27/2017	Motion to Join / Notice of Joinder of SIX SIS AG (Adv. Pro. No. 10-03869) (related document(s)959) filed by Andreas A. Frischknecht on behalf of Six Sis AG. (Frischknecht, Andreas) (Entered: 01/27/2017)
1336	03/31/2017	Opposition Brief / Foreign Representatives' Memorandum of Law in Opposition to Defendants' Consolidated Memorandum of Law and in Further Support of Foreign Representatives' Motion For Leave to Amend Complaints [REDACTED] (related document(s)959, 960) filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation). (Molton, David) (Entered: 03/31/2017)
1337	03/31/2017	Declaration of David J. Molton in Further Support of Motion For Leave to Amend and in Opposition to Defendants Motion to Dismiss [REDACTED] (related document(s)1336) filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation). (Molton, David) (Entered: 03/31/2017)
1338	03/31/2017	Declaration of Gabriel Moss QC in Further Support of Motion For Leave to Amend and in Opposition to Defendants' Motion to Dismiss (related document(s)1336) filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation). (Attachments: # 1 Exhibit A - Part 1 # 2 Exhibit A - Part 2 # 3 Exhibit A - Part 3 # 4 Exhibit A - Part 4 # 5 Exhibit A - Part 5 # 6 Exhibit A - Part 6 # 7 Exhibit A - Part 7) (Molton, David) (Entered: 03/31/2017)

ECF Number	Date	Docket Text
1352	04/04/2017	Opposition Brief / Foreign Representatives' Memorandum of Law In Opposition to Joinder of Defendant BRED Banque Populaire to (I) Memorandum of Law of Kiangsu Chekiang and Shanghai Residents (H.K.) Association and (II) Point I of Individual Supplemental Brief of Sumitomo Trust & Banking Co., Ltd. in Support of Motion to Dismiss and in Opposition to Plaintiffs' Motion to Amend Its Complaint and in Further Support of Foreign Representatives' Motion For Leave to Amend Complaints (related document(s)1079, 959, 1083, 960, 1201, 1336) filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation). (Molton, David) (Entered: 04/04/2017)
1386	04/05/2017	Opposition Brief / Foreign Representatives' Memorandum of Law in Opposition to the Individual Supplemental Brief (Concerning Preemption and Service and in Further Support of Foreign Representatives' Motion For Leave to Amend Complaints (related document(s)959, 1083, 1089, 960, 1099, 1336) filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation). (Molton, David) (Entered: 04/05/2017)
1406	04/06/2017	Opposition Brief / Liquidators' Opposition to Certain Defendants' Motion to Dismiss on Grounds of Forum Non Conveniens (related document(s)959, 1107, 1212, 1195, 1206, 1109, 1232, 960, 1202, 1279, 1246, 1254, 1276, 1235, 1197, 1336, 1252) filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation). (Molton, David) (Entered: 04/06/2017)
1407	04/06/2017	Declaration of David J. Molton in Support of the Liquidators' Opposition to Certain Defendants' Motion to Dismiss on Grounds of Forum Non Conveniens (related document(s)1406) filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation). (Molton, David) (Entered: 04/06/2017)
1408	04/06/2017	Declaration of William Hare in Support of the Liquidators' Opposition to Certain Defendants' Motion to Dismiss on Grounds of Forum Non Conveniens (related document(s)1406) filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation). (Attachments: # 1 Compendium - Part 2) (Molton, David) (Entered: 04/06/2017)
1427	04/06/2017	Opposition Brief / Foreign Representatives' Memorandum of Law In Opposition to PI Defendants' Supplemental Memorandum in Support of Defendants' Motion to Dismiss Based on the Doctrine of Res Judicata and in Further Support of Foreign Representatives' Motion for Leave to Amend Complaints (related document(s)1133, 959, 960, 1336, 1131) filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation). (Molton, David) (Entered: 04/06/2017)

ECF Number	Date	Docket Text
1428	04/06/2017	Declaration of David J. Molton in Support of Opposition to PI Defendants' Supplemental Memorandum in Support of Defendants' Motion to Dismiss Based on the Doctrine of Res Judicata and in Further Support of Foreign Representatives' Motion for Leave to Amend Complaints (related document(s)1427) filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation). (Molton, David) (Entered: 04/06/2017)
1429	04/06/2017	Declaration / Further Supplemental Declaration of Gabriel Moss QC in Opposition to PI Defendants' Supplemental Memorandum in Support of Defendants' Motion to Dismiss Based on the Doctrine of Res Judicata and in Further Support of Foreign Representatives' Motion for Leave to Amend Complaints (related document(s)1427) filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation). (Molton, David) (Entered: 04/06/2017)
1436	04/06/2017	Opposition Brief / Foreign Representatives' Memorandum of Law In Opposition to Memorandum of Law and in Further Support of Foreign Representatives' Motion for Leave to Amend Complaints (related document(s)1079, 959, 1223, 1224, 1178, 960, 1184, 1336, 1177, 1221) filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation). (Molton, David) (Entered: 04/06/2017)
1437	04/06/2017	Opposition Brief / CORRECTED Foreign Representatives' Memorandum of Law in Opposition to the Individual Supplemental Brief (Concerning Preemption and Service and in Further Support of Foreign Representatives' Motion For Leave to Amend Complaints (related document(s)1386, 1170, 1182, 1180, 959, 1212, 1195, 1223, 1190, 1211, 1206, 1193, 1134, 1224, 1135, 1083, 1178, 1210, 960, 1205, 1202, 1277, 1187, 1279, 1214, 1246, 1188, 1240, 1184, 1276, 1278, 1235, 1197, 1280, 1336, 1177, 1137, 1282, 1221) filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation). (Molton, David) (Entered: 04/06/2017)
1438	04/11/2017	Letter to the Honorable Stuart M. Bernstein from David J. Molton (related document(s)1338, 1336) Filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation). (Molton, David) (Entered: 04/11/2017)
1456	05/26/2017	Second Declaration of Simon Mortimore, QC in Further Support of the Defendants' Motion to Dismiss, dated May 26, 2017 (related document(s)959) filed by Thomas J. Moloney on behalf of Defendants (as set forth in Appendix A to the Memorandum of Law in Opposition to Plaintiffs' Motion for Leave to Amend and in

ECF Number	Date	Docket Text
		Support of the Defendants' Motion to Dismiss). (Attachments: # 1 Exhibit A) (Moloney, Thomas) (Entered: 05/26/2017)
1457	05/26/2017	Reply Memorandum of Law in Further Support of Defendants' Motion to Dismiss (related document(s)959) filed by Thomas J. Moloney on behalf of Defendants (as set forth in Appendix A to the Memorandum of Law in Opposition to Plaintiffs' Motion for Leave to Amend and in Support of the Defendants' Motion to Dismiss). (Moloney, Thomas) (Entered: 05/26/2017)
1486	06/09/2017	Reply to Motion Supplement Reply Memorandum In Support of Defendants' Motion to Dismiss Based on the Doctrine of Res Judicata, dated June 9, 2017 (related document(s)959, 1131) filed by Mark Nelson Parry on behalf of Defendants (as listed on Appendix A of the Supplement Reply Memorandum In Support of Defendants' Motion to Dismiss Based on the Doctrine of Res Judicata, dated June 9, 2017). (Attachments: # 1 Appendix A # 2 Appendix B) (Parry, Mark) (Entered: 06/09/2017)
1499	06/09/2017	Reply Memorandum of Law / Supplemental Reply Memorandum of Law of Defendants in the Adversary Proceedings Listed on Exhibit A in Opposition to Plaintiffs' Motion for Leave to Amend and in Further Support of those Defendants' Motion to Dismiss on Grounds of Forum Non Conveniens (related document(s)959, 922) filed by Emil A. Kleinhaus on behalf of Pictet & Cie. (Attachments: # 1 Exhibit - A # 2 Exhibit - B # 3 Exhibit - C # 4 Exhibit - D # 5 Exhibit - E # 6 Exhibit - F # 7 Exhibit - G # 8 Exhibit - H) (Kleinhaus, Emil) (Entered: 06/09/2017)
1502	06/09/2017	Declaration / Declaration of Brian Lacy in Further Support of the Motion of the Defendants Listed on Exhibit A to Dismiss on Grounds of Forum Non Conveniens filed by Emil A. Kleinhaus on behalf of Pictet & Cie. (Attachments: # 1 Exhibit - A # 2 Exhibit - B # 3 Exhibit - C) (Kleinhaus, Emil) (Entered: 06/09/2017)
1571	06/29/2017	Letter to the Honorable Stuart M. Bernstein from David J. Molton, dated June 29, 2017 (related document(s)1457) Filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation). (Attachments: # 1 Cleary Gottlieb Letter dated June 26, 2017)(Molton, David) (Entered: 06/29/2017)
1574	06/30/2017	Letter from Thomas J. Moloney to Judge Bernstein, dated June 30, 2017, in response to the Liquidators' June 29th letter seeking leave to file a sur-reply, etc. (related document(s)1571) Filed by Thomas J. Moloney on behalf of Defendants (as set forth in Appendix A to the Memorandum of Law in Opposition to Plaintiffs' Motion for Leave to Amend and in Support of the Defendants' Motion to Dismiss). (Moloney, Thomas) (Entered: 06/30/2017)

ECF Number	Date	Docket Text
1575	06/30/2017	Letter to the Honorable Stuart M. Bernstein from David J. Molton, dated June 30, 2017 in response to the Letter from Thomas J. Moloney, dated June 30, 2017 (related document(s)1574) Filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation). (Molton, David) (Entered: 06/30/2017)
1576	07/10/2017	Letter to Hon. Stuart M. Bernstein from Thomas J. Moloney, dated July 10, 2017, regarding the Liquidators' request for leave to file a sur-reply brief (related document(s)1575, 1571) Filed by Thomas J. Moloney on behalf of Defendants (as set forth in Appendix A to the Memorandum of Law in Opposition to Plaintiffs' Motion for Leave to Amend and in Support of the Defendants' Motion to Dismiss). (Moloney, Thomas) (Entered: 07/10/2017)
1577	07/11/2017	Letter to the Honorable Stuart M. Bernstein from David J. Molton, dated July 11, 2017 in response to the Letter from Thomas J. Moloney, dated July 10, 2017 (related document(s)1575, 1574, 1576, 1571) Filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation). (Molton, David) (Entered: 07/11/2017)
1591	08/29/2017	Letter from Thomas J. Moloney to Judge Bernstein, dated August 29, 2017 to bring the Court's attention to a recent decision by the Grand Court of the Cayman Islands, etc. and enclosing the referenced decision. Filed by Thomas J. Moloney on behalf of HSBC Defendants as listed in the Consolidated Proceeding and Individual Adversary Proceedings, as per Appendix A of the HSBC Defendants Supplemental Reply in Further Support of the Motion to Dismiss,. (Moloney, Thomas) (Entered: 08/29/2017)
1593	09/07/2017	Letter / Corrected Letter to the Honorable Stuart M. Bernstein from David J. Molton, dated September 7, 2017 (related document(s)1592) Filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation). (Molton, David) (Entered: 09/07/2017)
1603	11/22/2017	Letter to the Honorable Stuart M. Bernstein from David J. Molton, dated November 22, 2017 Filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation). (Molton, David) (Entered: 11/22/2017)
1604	12/04/2017	Letter in response to the Liquidators' November 22, 2017 Letter (related document(s)1603) Filed by Thomas J. Moloney on behalf of Defendants (as set forth in Appendix A to the Memorandum of Law in Opposition to Plaintiffs' Motion for Leave to Amend and in Support of the Defendants' Motion to Dismiss). (Moloney, Thomas) (Entered: 12/04/2017)
1605	12/04/2017	Letter in response to Liquidators' November 22,2017 letter (related document(s)1603) Filed by Marc J. Gottridge on behalf of

ECF Number	Date	Docket Text
		Barclays Bank (Suisse) S.A., Barclays Bank S.A., Barclays Private Bank & Trust Ltd.. (Gottridge, Marc) (Entered: 12/04/2017)
1606	12/07/2017	Letter to The Honorable Stuart M. Bernstein from David J. Molton responding to Defendants' letters of December 4, 2017 re: November 20, 2017 Judgment issued by the Eastern Caribbean Court of Appeal (related document(s)1605, 1604) Filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation). (Molton, David) (Entered: 12/07/2017)
1608	12/22/2017	Letter to Judge Bernstein by Thomas J. Moloney, dated December 22, 2017, to bring to the Court's attention a decision issued by the Privy Council on November 23, 2017 and attaching the decision, etc. Filed by Thomas J. Moloney on behalf of Defendants (as set forth in Appendix A to the Memorandum of Law in Opposition to Plaintiffs' Motion for Leave to Amend and in Support of the Defendants' Motion to Dismiss). (Moloney, Thomas) (Entered: 12/22/2017)
1611	01/10/2018	Letter to Honorable Stuart M. Bernstein from David J. Molton responding to December 22, 2017 letter from Thomas J. Moloney (related document(s)1608) Filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation). (Molton, David) (Entered: 01/10/2018)
1626	01/23/2018	Letter to Honorable Stuart M. Bernstein from David Molton re: notice of supplemental authorities in connection with January 25, 2018 oral argument Filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation). (Molton, David) (Entered: 01/23/2018)
1648	01/28/2018	Transcript regarding Hearing Held on 01/25/18 at 2:01 PM RE: Oral Argument on Plaintiffs' Motions for Leave to Amend Complaints and Defendants' Motions to Dismiss. Remote electronic access to the transcript is restricted until 4/30/2018. The transcript may be viewed at the Bankruptcy Court Clerks Office. [Transcription Service Agency: Veritext Legal Solutions.]. (See the Courts Website for contact information for the Transcription Service Agency.). Notice of Intent to Request Redaction Deadline Due By 2/5/2018. Statement of Redaction Request Due By 2/20/2018. Redacted Transcript Submission Due By 3/1/2018. Transcript access will be restricted through 4/30/2018. (Cales, Humberto) (Entered: 01/31/2018)
1636	01/29/2018	Letter , dated January 29, 2018, from Thomas J. Moloney, in response to the Liquidators' letter, dated January 10, 2018, (related document(s)1611) Filed by Thomas J. Moloney on behalf of Defendants (as set forth in Appendix A to the Memorandum of Law in Opposition to Plaintiffs' Motion for Leave to Amend and in

ECF Number	Date	Docket Text
		Support of the Defendants' Motion to Dismiss). (Moloney, Thomas) (Entered: 01/29/2018)
1652	02/06/2018	Letter to The Honorable Stuart M. Bernstein from David J. Molton responding to Defendants' letter of January 29, 2018 re: DD Growth judgment (related document(s)1636) Filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation). (Molton, David) (Entered: 02/06/2018)
1653	02/07/2018	Letter to The Honorable Stuart M. Bernstein from Alan Kolod Re: Oral Argument Rebuttal (related document(s)1486, 1131) Filed by Alan Kolod on behalf of Deutsche Bank (Suisse) SA Geneve, Deutsche Bank Trust Company Americas. (Kolod, Alan) (Entered: 02/07/2018)
1656	02/15/2018	Memorandum of Law /Foreign Representatives' Supplemental Memorandum of Law Addressing Bankruptcy Code Section 561(D) Pursuant to February 1, 2018 Order of the Court (related document(s)1649) filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation). (Molton, David) (Entered: 02/15/2018)
1658	02/15/2018	Declaration of William M. Lemon, dated February 15, 2018, in Support of Defendants Supplemental Memorandum of Law Concerning the Legislative History of 11 U.S.C. 561(d) (related document(s)1649) filed by Thomas J. Moloney on behalf of Defendants (as set forth in Appendix A to the Memorandum of Law in Opposition to Plaintiffs' Motion for Leave to Amend and in Support of the Defendants' Motion to Dismiss). (Attachments: # 1 Exhibit A # 2 Exhibit B # 3 Exhibit C # 4 Exhibit D # 5 Exhibit E # 6 Exhibit F) (Moloney, Thomas) (Entered: 02/15/2018)
1659	02/16/2018	Memorandum of Law /Defendants' Supplemental Memorandum of Law Concerning the Legislative History of 11 U.S.C. 561(d) (related document(s)1657, 1649) filed by Thomas J. Moloney on behalf of Defendants (as set forth in Appendix A to the Memorandum of Law in Opposition to Plaintiffs' Motion for Leave to Amend and in Support of the Defendants' Motion to Dismiss). (Moloney, Thomas) (Refiled Solely To Correct Appendix "A") Modified on 2/20/2018 (Richards, Beverly). (Entered: 02/16/2018)
1661	02/23/2018	Letter to The Honorable Stuart M. Bernstein from David J. Molton responding to the February 7, 2018 letter from counsel to the PI Defendants (related document(s)1653) Filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation). (Molton, David) (Entered: 02/23/2018)
1671	03/08/2018	Letter to The Honorable Stuart M. Bernstein from David J. Molton regarding decision in U.S. Supreme Court in Merit Management Group, LP v. FTI Consulting, Inc. Filed by David Molton on

ECF Number	Date	Docket Text
		behalf of Fairfield Sentry Limited (In Liquidation). (Molton, David) (Entered: 03/08/2018)
1687	03/23/2018	Letter , dated March 23, 2018, from Thomas J. Moloney to Judge Bernstein in response to the Liquidators' letter, dated March 8, 2018, (related document(s)1671) Filed by Thomas J. Moloney on behalf of Defendants (as set forth in Appendix A to Defendants Supplemental Memorandum of Law Concerning the Legislative History of 11 U.S.C. § 561(d)). (Moloney, Thomas) (Entered: 03/23/2018)
1690	04/05/2018	Letter to The Honorable Stuart M. Bernstein from David Molton responding to Defendants' March 23, 2018 letter re: Merit Management (related document(s)1671, 1687) Filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation). (Molton, David) (Entered: 04/05/2018)
1692	04/27/2018	Letter to the Honorable Stuart M. Bernstein regarding Picard Defendants Appeal Brief Filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation). (Molton, David) (Entered: 04/27/2018)
1693	04/27/2018	Letter , dated April 27, 2018, from Thomas J. Moloney to Judge Bernstein in response to the Liquidators' letter, dated April 5, 2018, (related document(s)1690) Filed by Thomas J. Moloney on behalf of Defendants (as set forth in Appendix A to Defendants Supplemental Memorandum of Law Concerning the Legislative History of 11 U.S.C. § 561(d)). (Attachments: # 1 Ex A # 2 Ex B # 3 Ex C # 4 Ex D # 5 Ex E)(Moloney, Thomas) (Entered: 04/27/2018)
1694	05/09/2018	Letter to Judge Bernstein from David Molton, dated May 9, 2018, responding to Defendants' April 27, 2018 letter (related document(s)1693) Filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation). (Molton, David) (Entered: 05/09/2018)
1695	05/10/2018	Letter , dated May 10, 2018, from Thomas J. Moloney to Judge Bernstein, in response to the Liquidators' April 27 letter (related document(s)1692) Filed by Thomas J. Moloney on behalf of Defendants (as set forth in Appendix A to Defendants Supplemental Memorandum of Law Concerning the Legislative History of 11 U.S.C. § 561(d)). (Attachments: # 1 Exhibit Appellees' Second Circuit Brief)(Moloney, Thomas) (Entered: 05/10/2018)
1699	05/22/2018	Letter to the Honorable Stuart M. Bernstein from David J. Molton, dated May 22, 2018, attaching additional authority re: choice-of-law issues Filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation). (Molton, David) (Entered: 05/22/2018)

ECF Number	Date	Docket Text
1704	05/29/2018	Letter from Thomas J. Moloney to Judge Bernstein, dated May 29, 2018, in response to the Liquidators' letter of May 22nd, etc. (related document(s)1699) Filed by Thomas J. Moloney on behalf of Defendants (as set forth in Appendix A to Defendants Supplemental Memorandum of Law Concerning the Legislative History of 11 U.S.C. § 561(d)). (Moloney, Thomas) (Entered: 05/29/2018)
1723	08/06/2018	So Ordered Written Opinion Signed On 8/6/2018. Re: The Defendants Motions To Dismiss For Want Of Jurisdiction (related document(s)959, 922, 960, 923) (Barrett, Chantel) (Entered: 08/06/2018)
1743	12/06/2018	Memorandum Decision Signed On 12/6/2018 Granting In Part And Denying In Part Re: Defendants' Motions To Dismiss And Plaintiffs' Motion For Leave To Amend, (related document(s)959, 922, 960, 923). (Mercado, Tracey) (Entered: 12/06/2018)
2835	06/17/2019	Motion to Authorize / Motion to Unseal All Documents Sealed Pursuant to The Court's Seal Order Dated September 6, 2016, ECF No. 909 (related document(s)909) filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation), Fairfield Sigma Limited (In Liquidation), Kenneth Krys, solely in his capacity as Foreign Representative and Liquidator thereof with hearing to be held on 7/2/2019 at 10:00 AM at Courtroom 723 (SMB) Responses due by 6/25/2019,. (Attachments: # 1 Exhibit A - List of Adversary Proceedings # 2 Exhibit B - Proposed Order # 3 Exhibit C - Anwar Confidentiality Order # 4 Exhibit D - Citco Confidentiality Letter) (Molton, David)
2839	06/28/2019	Statement of No Objection (related document(s)2835) filed by David Molton on behalf of Charlotte Caulfield, solely in her capacity as Foreign Representative and Liquidator thereof, Fairfield Lambda Limited (In Liquidation), Fairfield Sentry Limited (In Liquidation), Fairfield Sigma Limited (In Liquidation), Kenneth Krys, solely in his capacity as Foreign Representative and Liquidator thereof. (Attachments: # 1 Exhibit A - Proposed Order) (Molton, David)
2840	07/01/2019	Order Signed On 7/1/2019 Re: To Unseal All Documents Sealed Pursuant To The Court's Seal Order Dated September 6, 2016, Ecf No. 909 (Related Doc # 2835). (Barrett, Chantel)
2844	07/30/2019	Letter to Judge Bernstein from David J, Molton to request modification of Order unsealing documents (related document(s)2840) Filed by David Molton on behalf of Fairfield Lambda Limited (In Liquidation), Fairfield Sentry Limited (In Liquidation), Fairfield Sigma Limited (In Liquidation), Kenneth Krys, solely in his capacity as Foreign Representative and

ECF Number	Date	Docket Text
		Liquidator thereof. (Attachments: # 1 Proposed Amended Order)(Molton, David)
2845	07/30/2019	Statement / Notice of Settlement of Order (Adv. Pro. No. 10-03627) filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation), Fairfield Sigma Limited (In Liquidation), Kenneth Krys, solely in his capacity as Foreign Representative and Liquidator thereof. Objections due by 8/1/2019, (Attachments: # 1 Exhibit 1) (Molton, David)
2846	07/30/2019	Statement / Notice of Settlement of Order (Adv. Pro. No. 10-03777) filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation). Objections due by 8/1/2019, (Attachments: # 1 Exhibit 1) (Molton, David)
2847	07/31/2019	Amended Order Signed On 7/31/2019 RE: To Unseal All Documents Sealed Pursuant To The Courts Seal Order Dated September 6, 2016, Ecf No. 909 . (related document(s)909) (Barrett, Chantel)
2851	08/01/2019	Statement / Amended Notice of Settlement of Order (Adv. Pro. No. 10-03627) (related document(s)2845) filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation), Fairfield Sigma Limited (In Liquidation), Kenneth Krys, solely in his capacity as Foreign Representative and Liquidator thereof. Objections due by 8/5/2019, (Attachments: # 1 Exhibit 1) (Molton, David)
2852	08/05/2019	So Ordered Stipulation Signed On 8/2/2019. Re: Effecting Waiver Of Remand Relief And Granting In Part And Denying In Part Motions To Dismiss And Motion For Leave To Amend (Barrett, Chantel)
2853	08/05/2019	So Ordered Stipulation Signed On 8/5/2019. Re: Effecting Waiver Of Remand Relief And Staying Action Pending Appellate Ruling (Barrett, Chantel)
2854	08/08/2019	So Ordered Stipulated Order Signed On 8/7/2019 Re: Effecting Waiver Of Remand Relief And Granting In Part And Denying In Part Motions To Dismiss And Motion For Leave To Amend . (Barrett, Chantel)
2855	08/09/2019	Statement Stipulated Order of Dismissal (Adv. Pro. No. 11-02613) filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation), Kenneth Krys, solely in his capacity as Foreign Representative and Liquidator thereof. (Molton, David)
2856	09/04/2019	Stipulation Stipulated Order of Dismissal Filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation), Fairfield Sigma Limited (In Liquidation), Kenneth Krys, solely in his capacity as Foreign Representative and Liquidator thereof. (Molton, David)

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2859	09/16/2019	Proposed Stipulated Order Staying Action Pending Appellate Ruling Filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation), Kenneth Krys, solely in his capacity as Foreign Representative and Liquidator thereof. (Molton, David) Docket Text Added on 9/17/2019 (Bush, Brent)
2861	10/25/2019	Stipulation Stipulated Order of Dismissal Filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation), Kenneth Krys, solely in his capacity as Foreign Representative and Liquidator thereof. (Molton, David)
2862	10/25/2019	So Ordered Stipulation signed on 10/25/2019. Re: Stipulated Order Of Dismissal (11-1245) (Barrett, Chantel)
2863	11/12/2019	Stipulation Stipulation and Proposed Order Setting Deadline to Respond to the Complaint Filed by David Molton on behalf of Charlotte Caulfield, solely in her capacity as Foreign Representative and Liquidator thereof, Fairfield Lambda Limited (In Liquidation), Fairfield Sentry Limited (In Liquidation), Fairfield Sigma Limited (In Liquidation), Kenneth Krys, solely in his capacity as Foreign Representative and Liquidator thereof. (Molton, David)
2864	11/12/2019	Stipulation Corrected Stipulation and Proposed Order Setting Deadline to Respond to the Complaint (related document(s)2863) Filed by David Molton on behalf of Charlotte Caulfield, solely in her capacity as Foreign Representative and Liquidator thereof, Fairfield Lambda Limited (In Liquidation), Fairfield Sentry Limited (In Liquidation), Fairfield Sigma Limited (In Liquidation), Kenneth Krys, solely in his capacity as Foreign Representative and Liquidator thereof. (Molton, David)
2865	11/18/2019	Stipulation Stipulated Order of Dismissal, re: Adv. Pro. No. 10-03627 (SMB) Filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation), Kenneth Krys, solely in his capacity as Foreign Representative and Liquidator thereof. (Molton, David)
2866	11/18/2019	Stipulation Stipulated Order of Dismissal, re: Adv. Pro. No. 12-01763 (SMB) Filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation), Kenneth Krys, solely in his capacity as Foreign Representative and Liquidator thereof. (Molton, David)
2867	11/18/2019	Stipulation Corrected Stipulated Order of Dismissal, re: Adv. Pro. No. 10-03627 (SMB) (related document(s)2865) Filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation), Kenneth Krys, solely in his capacity as Foreign Representative and Liquidator thereof. (Molton, David)
2868	11/18/2019	Stipulation Corrected Stipulated Order of Dismissal, re: Adv. Pro. No. 12-01763 (SMB) (related document(s)2866) Filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation),

ECF Number	Date	Docket Text
		Kenneth Kryz, solely in his capacity as Foreign Representative and Liquidator thereof. (Molton, David)
2869	11/18/2019	So Ordered Stipulation Signed In 11/18/2019. Re: Corrected Stipulated Order Of Dismissal (Barrett, Chantel)
2870	11/18/2019	So Ordered Stipulation Signed On 11/18/2019. Re: Corrected Stipulated Order Of Dismissal (Barrett, Chantel)
2871	12/19/2019	Stipulation Stipulation and Agreed Order to Vacate Entry of Default Filed by David Molton on behalf of Greig Mitchell, solely in his capacity as Foreign Representative and Liquidator thereof, Fairfield Sigma Limited (In Liquidation), Kenneth Kryz, solely in his capacity as Foreign Representative and Liquidator thereof. (Molton, David)
2872	12/19/2019	So Ordered Stipulation Signed On 12/19/2019. Re: Agreed Order To Vacate Entry Of Default (related document(s)2871) (Barrett, Chantel)
2873	01/15/2020	Motion to Amend and File the Further Proposed Amended Complaints in the Knowledge Actions filed by David Elsberg on behalf of Fairfield Sentry Limited (In Liquidation), Fairfield Sentry Limited (In Liquidation), Fairfield Sentry Limited et al, Fairfield Sigma Limited (In Liquidation). (Attachments: # 1 Exhibit 1 to Notice of Motion to Amend - Proposed Order # 2 Memorandum of Law in Support of Motion for Leave to File the Further Proposed Amended Complaints in the Knowledge Actions # 3 Declaration of David Elsberg in Support of Motion # 4 Exhibit 1 to the Declaration of David Elsberg # 5 Exhibit 2 to the Declaration of David Elsberg # 6 Exhibit 3 to the Declaration of David Elsberg # 7 Exhibit 4 to the Declaration of David Elsberg # 8 Exhibit 5 to the Declaration of David Elsberg # 9 Exhibit 6 to the Declaration of David Elsberg # 10 Exhibit 7 to the Declaration of David Elsberg # 11 Exhibit 8 to the Declaration of David Elsberg # 12 Exhibit 9 to the Declaration of David Elsberg # 13 Exhibit 10 to the Declaration of David Elsberg # 14 Exhibit 11 to the Declaration of David Elsberg # 15 Exhibit 12 to the Declaration of David Elsberg # 16 Exhibit 13 to the Declaration of David Elsberg # 17 Exhibit 14 to the Declaration of David Elsberg # 18 Exhibit 15 to the Declaration of David Elsberg # 19 Exhibit 16 to the Declaration of David Elsberg # 20 Exhibit 17 to the Declaration of David Elsberg # 21 Exhibit 18 to the Declaration of David Elsberg # 22 Exhibit 19 to the Declaration of David Elsberg # 23 Exhibit 20 to the Declaration of David Elsberg # 24 Exhibit 21 to the Declaration of David Elsberg # 25 Exhibit 22 to the Declaration of David Elsberg # 26 Exhibit 23 to the Declaration of David Elsberg # 27 Exhibit 24 to the Declaration of David Elsberg # 28 Exhibit 25 to the Declaration of David Elsberg # 29 Exhibit 26 to the

ECF Number	Date	Docket Text
		Declaration of David Elsberg # 30 Exhibit 27 to the Declaration of David Elsberg # 31 Exhibit 28 to the Declaration of David Elsberg # 32 Exhibit 29 to the Declaration of David Elsberg # 33 Exhibit 30 to the Declaration of David Elsberg # 34 Exhibit 31 to the Declaration of David Elsberg) (Elsberg, David)
2874	01/22/2020	Letter to Hon. Stuart M. Bernstein from Thomas J. Moloney, dated January 22, 2020, requesting a status conference on Plaintiffs' motion for leave to amend (related document(s)2873) Filed by Thomas J. Moloney on behalf of Defendants (as listed in Exhibit A to the January22, 2020 Letter to Hon. Stuart M. Bernstein from Thomas J. Moloney requesting a status conference on Plaintiffs' motion for leave to amend).(Attachments: # 1 Exhibit A - Joining Defendants # 2 Exhibit B - Stipulating Defendants # 3 Exhibit C - Email chain # 4 Exhibit D – Email chain)(Moloney, Thomas)
2875	01/27/2020	Response to Defendants' January 22, 2020 Letter (related document(s)2874) filed by David Elsberg on behalf of Fairfield Lambda Limited (In Liquidation), Fairfield Sentry Limited (In Liquidation), Fairfield Sigma Limited (In Liquidation). (Attachments: # 1 Exhibit A # 2 Exhibit B) (Elsberg, David)
2876	01/28/2020	Transcript regarding Hearing Held on 05/16/19 At 10:09 AM RE: Conference Re Status Of Stay Of Certain Remand-Ordered Actions (Applies To Adv. Proc. Nos. 10-03626, 10-03627 & 10-03777). Remote electronic access to the transcript is restricted until 4/27/2020. The transcript may be viewed at the Bankruptcy Court Clerks Office. [Transcription Service Agency: Veritext Legal Solutions.]. (See the Courts Website for contact information for the Transcription Service Agency.). Notice of Intent to Request Redaction Deadline Due By 2/4/2020.Statement of Redaction Request Due By 2/18/2020. Redacted Transcript Submission Due By 2/28/2020. Transcript access will be restricted through 4/27/2020. (Ramos, Jonathan)
2878	01/29/2020	Letter to Hon. Stuart M. Bernstein from Thomas J. Moloney, dated January 29, 2020, regarding briefing on Plaintiffs' Motion for Leave to Amend (related document(s)2875, 2874, 2873) Filed by Thomas J. Moloney on behalf of Defendants (as listed in Exhibit A to the January 22, 2020 Letter to Hon. Stuart M. Bernstein from Thomas J. Moloney requesting a status conference on Plaintiffs' motion for leave to amend). (Moloney, Thomas)
2885	02/27/2020	Transcript regarding Hearing Held on 02/25/2020 At 11:01 AM RE: Motions For Leave To File Further Proposed Amended Complaints. Remote electronic access to the transcript is restricted until 5/27/2020. The transcript may be viewed at the Bankruptcy Court Clerks Office. [Transcription Service Agency: Veritext Legal Solutions.]. (See the Courts Website for contact information

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		for the Transcription Service Agency.). Notice of Intent to Request Redaction Deadline Due By 3/5/2020. Statement of Redaction Request Due By 3/19/2020. Redacted Transcript Submission Due By 3/30/2020. Transcript access will be restricted through 5/27/2020. (Ramos, Jonathan)
2887	03/03/2020	Letter to the Honorable Stuart M. Bernstein from the Parties re: Scheduling Matters Discussed at Feb. 25, 2020 Conference Filed by David Elsberg on behalf of Fairfield Lambda Limited (In Liquidation), Fairfield Sentry Limited (In Liquidation), Fairfield Sigma Limited (In Liquidation). (Attachments: # 1 Exhibit A to Letter # 2 Exhibit B to Letter # 3 Exhibit C to Letter # 4 Exhibit D to Letter)(Elsberg, David)
2892	03/13/2020	Letter submitting a Proposed Scheduling Order for Defendants' Consolidated Motion to Dismiss (related document(s)2887) Filed by Thomas J. Moloney on behalf of HSBC Bank Bermuda Limited, HSBC Bank USA NA (sued as HSBC Bank USA), HSBC Institutional Trust Services (Asia) Limited, HSBC International Trustee Limited, HSBC Latin America Holdings (UK) Limited, HSBC Private Bank (C.I.) Limited(sued as HSBC Private Bank (Guernsey) Ltd), HSBC Private Bank (Suisse) SA, HSBC Securities Services (Luxembourg) SA, HSBC Trust Company AG (f/k/a HSBC Guyerzeller Trust Company AG), Murdoch and Company, Republic Nominees Limited (f/k/a HSBC Private Banking Nominee 1 (Jersey) Limited), Robinson & Co., Somers Nominees (Far East) Limited. (Attachments: # 1 Exhibit A - Defendants' Proposed Order# 2 Exhibit B - Plaintiffs' Proposed Order # 3 Exhibit C - Redline)(Moloney, Thomas)
2902	03/16/2020	Motion to Dismiss Adversary Proceeding filed by Thomas J. Moloney on behalf of Defendants (as set forth in Appendix A to the Consolidated Motion to Dismiss Brief filed on March 16, 2020). Responses due by 4/6/2020, (Moloney, Thomas)
2903	03/16/2020	Memorandum of Law \ Consolidated Memorandum of Law in Support of Defendants' Renewed Motion to Dismiss Pursuant to 11 U.S.C. 561(d), 546(e), and 546(g) and for Insufficient Service of Process Under the Hague Service Convention (related document(s)2902) filed by Thomas J. Moloney on behalf of Defendants (as set forth in Appendix A to the Consolidated Motion to Dismiss Brief filed on March 16,2020). (Moloney, Thomas)
2904	03/16/2020	Declaration \ Attorney Declaration of Joseph M. Kay In Support of Defendants' Renewed Motion to Dismiss, dated March 16, 2020(related document(s)2902) filed by Thomas J. Moloney on behalf of Defendants (as set forth in Appendix A to the Consolidated Motion to Dismiss Brief filed on March 16, 2020).

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		(Attachments: # 1 Exhibit A # 2 Exhibit B # 3 Exhibit C # 4 Exhibit D # 5 Exhibit E # 6 Exhibit F # 7Exhibit G # 8 Exhibit H # 9 Exhibit I) (Moloney, Thomas)
2905	03/16/2020	Declaration of Prof. Nicolas Jeandin, dated March 16, 2020, with Exhibits A and B (related document(s)2902) filed by Thomas J. Moloney on behalf of Defendants (as set forth in Appendix A to the Consolidated Motion to Dismiss Brief filed on March 16, 2020). (Moloney, Thomas)
2906	03/16/2020	Declaration \ Attorney Declaration of Thomas J. Moloney in Support of Defendants' Renewed Motion to Dismiss, dated March 16,2020 (related document(s)2902) filed by Thomas J. Moloney on behalf of HSBC Bank Bermuda Limited, HSBC Bank USA NA (sued as HSBC Bank USA), HSBC Institutional Trust Services (Asia) Limited, HSBC International Trustee Limited, HSBC Latin America Holdings (UK)Limited, HSBC Private Bank (C.I.) Limited (sued as HSBC Private Bank (Guernsey) Ltd), HSBC Private Bank (Suisse) SA, HSBC Securities Services (Luxembourg) SA, HSBC Trust Company AG (f/k/a HSBC Guyerzeller Trust Company AG), Murdoch and Company, Republic Nominees Limited (f/k/a HSBC Private Banking Nominee 1 (Jersey) Limited), Robinson & Co., Somers Nominees (Far East) Limited.(Attachments: # 1 Exhibit 1 # 2 Exhibit 2 # 3 Exhibit 3 # 4 Exhibit 4 # 5 Exhibit 5 # 6 Exhibit 6 # 7 Exhibit 7 # 8 Exhibit 8 # 9 Exhibit 9 # 10Exhibit 10 # 11 Exhibit 11 # 12 Exhibit 12 # 13 Exhibit 13 # 14 Exhibit 14 # 15 Exhibit 15 # 16 Exhibit 16 # 17 Exhibit 17 # 18 Exhibit 18 # 19Exhibit 19 # 20 Exhibit 20 # 21 Exhibit 21 # 22 Exhibit 22 # 23 Exhibit 23 # 24 Exhibit 24 # 25 Exhibit 25 # 26 Exhibit 26 # 27 Exhibit 27 # 28Exhibit 28 # 29 Exhibit 29 # 30 Exhibit 30 # 31 Exhibit 31 # 32 Exhibit 32) (Moloney, Thomas)
2910	03/18/2020	Motion to Dismiss Adversary Proceeding (refiled for purpose of adding case caption list in Appendix A) (related document(s)2902) filed by Thomas J. Moloney on behalf of Defendants (as set forth in Appendix A to the Consolidated Motion to Dismiss Brief filed on March 16,2020). Responses due by 4/6/2020, (Moloney, Thomas)
2926	03/20/2020	So Ordered Scheduling Order Signed On 3/19/2020. (Barrett, Chantel)
3012	03/24/2020	Letter to the Honorable Stuart M. Bernstein from Plaintiffs re: Scheduling Matters Filed by David Elsberg on behalf of Fairfield Lambda Limited (In Liquidation), Fairfield Sentry Limited (In Liquidation), Fairfield Sigma Limited (In Liquidation). (Attachments: # 1 Exhibit A to Letter # 2 Exhibit B to Letter # 3

ECF Number	Date	Docket Text
		Exhibit C to Letter # 4 Exhibit D to Letter # 5 Exhibit E to Letter)(Elsberg, David)
3014	03/24/2020	Response Memorandum of Law on the Constructive Trust Jurisdictional Issue (related document(s)2890) filed by David Elsberg on behalf of Fairfield Lambda Limited (In Liquidation), Fairfield Sentry Limited (In Liquidation), Fairfield Sigma Limited (In Liquidation).(Elsberg, David)
3015	03/24/2020	Declaration of David Elsberg in Support of Plaintiffs' Response Memorandum of Law on the Constructive Trust Jurisdictional Issue(related document(s)3014) filed by David Elsberg on behalf of Fairfield Lambda Limited (In Liquidation), Fairfield Sentry Limited (In Liquidation), Fairfield Sigma Limited (In Liquidation). (Attachments: # 1 Exhibit A) (Elsberg, David)
3020	03/25/2020	Letter to Hon. Stuart M. Bernstein from Thomas J. Moloney, dated March 25, 2020, re: schedule for Consolidated Motion to Dismiss, etc. (related document(s)3012, 2910) Filed by Thomas J. Moloney on behalf of HSBC Bank Bermuda Limited, HSBC Bank USA NA(sued as HSBC Bank USA), HSBC Institutional Trust Services (Asia) Limited, HSBC International Trustee Limited, HSBC Latin America Holdings (UK) Limited, HSBC Private Bank (C.I.) Limited (sued as HSBC Private Bank (Guernsey) Ltd), HSBC Private Bank (Suisse) SA,HSBC Securities Services (Luxembourg) SA, HSBC Trust Company AG (f/k/a HSBC Guyerzeller Trust Company AG), Murdoch and Company, Republic Nominees Limited (f/k/a HSBC Private Banking Nominee 1 (Jersey) Limited), Robinson & Co., Somers Nominees (Far East) Limited.(Attachments: # 1 Exhibit A)(Moloney, Thomas)
3022	03/27/2020	Letter to Honorable Stuart M. Bernstein from David Elsberg dated March 27, 2020 re: Motion to Dismiss Filed by David Elsberg on behalf of Fairfield Lambda Limited (In Liquidation), Fairfield Sentry Limited (In Liquidation), Fairfield Sigma Limited (In Liquidation).(Attachments: # 1 Exhibit A # 2 Exhibit B)(Elsberg, David)
3023	03/31/2020	Memorandum of Law Defendants' Reply Memorandum of Law on the Constructive Trust Bar Issue (related document(s)2890, 3014)filed by Bruce Kaplan on behalf of DGAM Alternative Strategy Fund II, SPC Cell A, DGAM Alternative Strategy Fund II, SPC Cell B, DGAM Alternative Strategy Fund L.P., DGAM Asset Allocation Fund L.P.. (Attachments: # 1 Appendix A # 2 Appendix B # 3 Appendix C # 4Appendix D) (Kaplan, Bruce)
3024	04/02/2020	Memorandum of Law on the Constructive Trust Bar Issue (related document(s)2890) filed by Bruce Kaplan on behalf of DGAM Alternative Strategy Fund II, SPC Cell A, DGAM Alternative

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		Strategy Fund II, SPC Cell B, DGAM Alternative Strategy Fund L.P., DGAM Asset Allocation Fund L.P.. (Attachments: # 1 Appendix A - Joining Defendants # 2 Appendix B - Transcript of February 25, 2020 Conference #3 Appendix C - Sources of Proposed "New" Knowledge Allegations # 4 Exhibit D - Comparison of "New" Allegations) (Kaplan, Bruce)
3026	04/03/2020	Letter to Hon. Stuart M. Bernstein from Thomas J. Moloney, dated April 3, 2020, enclosing joint email regarding the parties' respective positions on scheduling for Defendants' renewed motion to dismiss (related document(s)2910) Filed by Thomas J. Moloney on behalf of HSBC Bank Bermuda Limited, HSBC Bank USA NA (sued as HSBC Bank USA), HSBC Institutional Trust Services (Asia) Limited, HSBC International Trustee Limited, HSBC Latin America Holdings (UK) Limited, HSBC Private Bank (C.I.) Limited (sued as HSBC Private Bank(Guernsey) Ltd), HSBC Private Bank (Suisse) SA, HSBC Securities Services (Luxembourg) SA, HSBC Trust Company AG (f/k/a HSBC Guyerzeller Trust Company AG), Murdoch and Company, Republic Nominees Limited (f/k/a HSBC Private Banking Nominee 1 (Jersey)Limited), Robinson & Co., Somers Nominees (Far East) Limited. (Moloney, Thomas)
3028	04/14/2020	Scheduling Order Signed On 4/14/2020 Re: Which Set Forth Deadlines For Defendants Initial Consolidated Brief And Individual Supplemental Briefs On The First Threshold Issues, And Instructed That The Parties Shall Jointly Contact The Court On March 24, 2020 To Schedule A Telephone Status Conference Concerning The Matters Not Resolved By The Interim Scheduling Order, (related document(s)2906,2926, 3020, 2905, 2904, 3022). (Mercado, Tracey)
3029	05/07/2020	Letter re Proposed Scheduling Order Filed by David Molton on behalf of Greig Mitchell, solely in his capacity as Foreign Representative and Liquidator thereof, Fairfield Lambda Limited (In Liquidation), Fairfield Sentry Limited (In Liquidation), Fairfield Sigma Limited (In Liquidation), Kenneth Krys, solely in his capacity as Foreign Representative and Liquidator thereof. (Attachments: # 1 Exhibit Proposed Scheduling Order)(Molton, David)
3030	05/07/2020	Scheduling Order Signed On 5/7/2020. (Barrett, Chantel)
3033	05/29/2020	Memorandum of Law in Opposition to Defendants' Renewed Motion to Dismiss (related document(s)2910) filed by David Elsberg on behalf of Fairfield Lambda Limited (In Liquidation), Fairfield Sentry Limited (In Liquidation), Fairfield Sigma Limited (In Liquidation).(Elsberg, David)

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3034	05/29/2020	Declaration of David J. Molton in Support of the Liquidators' Memorandum of Law in Opposition to Defendants' Renewed Motion to Dismiss (related document(s)2910) filed by David Elsberg on behalf of Fairfield Lambda Limited (In Liquidation), Fairfield Sentry Limited (In Liquidation), Fairfield Sigma Limited (In Liquidation). (Attachments: # 1 Exhibit 1 to the Declaration # 2 Exhibit 2 to the Declaration # 3Exhibit 3 to the Declaration) (Elsberg, David)
3036	06/19/2020	Consolidated Reply Memorandum of Law in Further Support of Defendants' Renewed Motion to Dismiss (related document(s)2910) filed by Thomas J. Moloney on behalf of Defendants (as set forth in Appendix A to the Consolidated Motion to Dismiss Reply Brief filed on June 19, 2020). (Attachments: # 1 Appendix A # 2 Appendix B) (Moloney, Thomas) Modified on 6/23/2020 (Richards, Beverly).
3037	06/19/2020	Declaration /Attorney Declaration of Christine M. Jordan in Further Support of Defendants' Renewed Motion to Dismiss (related document(s)2910) filed by Christine Marie Jordan on behalf of Defendants (as set forth in Appendix A to the Consolidated Motion to Dismiss Reply Brief filed on June 19, 2020). (Attachments: # 1 Exhibit A # 2 Exhibit B) (Jordan, Christine)
3038	07/03/2020	Letter Requesting Leave to file Sur-Reply Filed by David Elsberg on behalf of Fairfield Lambda Limited (In Liquidation), Fairfield Sentry Limited (In Liquidation), Fairfield Sigma Limited (In Liquidation). (Elsberg, David)
3041	07/08/2020	Letter in response to Liquidators' July 3, 2020 letter (related document(s)3038) Filed by Thomas J. Moloney on behalf of HSBC Bank Bermuda Limited, HSBC Bank USA NA (sued as HSBC Bank USA), HSBC Institutional Trust Services (Asia) Limited, HSBC International Trustee Limited, HSBC Latin America Holdings (UK) Limited, HSBC Private Bank (C.I.) Limited (sued as HSBC Private Bank(Guernsey) Ltd), HSBC Private Bank (Suisse) SA, HSBC Securities Services (Luxembourg) SA, HSBC Trust Company AG (f/k/a HSBC Guyerzeller Trust Company AG), Murdoch and Company, Republic Nominees Limited (f/k/a HSBC Private Banking Nominee 1 (Jersey)Limited), Robinson & Co., Somers Nominees (Far East) Limited. (Moloney, Thomas)
3044	07/27/2020	Letter addressed to Honorable Stuart M. Bernstein regarding Notice of Supplemental Authority (related document(s)2902) Filed by Marc J. Gottridge on behalf of Barclays Bank (Suisse) S.A., Barclays Bank S.A., Barclays Private Bank & Trust Ltd..

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		(Attachments: # 1 Exhibit A: Convergen Opinion)(Gottridge, Marc)
3045	07/31/2020	Letter in Response to Defendants' July 27, 2020 Letter (related document(s)3044) Filed by David Elsberg on behalf of Fairfield Lambda Limited (In Liquidation), Fairfield Sentry Limited (In Liquidation), Fairfield Sigma Limited (In Liquidation). (Attachments: # 1Appendix A - Relevant Adversary Proceedings)(Elsberg, David)
3046	08/10/2020	Written Opinion Signed On 8/10/2020. Re: Memorandum Decision Denying Plaintiffs Motion For Leave To File Further Proposed Amended Complaints In Certain Actions (related document(s)2873) (Barrett, Chantel)
3047	08/18/2020	Order Signed On 8/18/2020 Re: Denying Plaintiffs Motion For Leave To File Further Proposed Amended Complaints In The Twelve Actions (As Defined Below) (Related Doc # 2873) . (Barrett, Chantel)
3048	09/01/2020	Letter to Hon. Stuart M. Bernstein from Thomas J. Moloney, dated September 1, 2020, regarding recent decision in In re Nine West LBO Securities Litigation Filed by Thomas J. Moloney on behalf of HSBC Bank Bermuda Limited, HSBC Bank USA NA (sued as HSBC Bank USA), HSBC Institutional Trust Services (Asia) Limited, HSBC International Trustee Limited, HSBC Latin America Holdings (UK) Limited, HSBC Private Bank (C.I.) Limited (sued as HSBC Private Bank (Guernsey) Ltd), HSBC Private Bank (Suisse) SA, HSBC Securities Services(Luxembourg) SA, HSBC Trust Company AG (f/k/a HSBC Guyerzeller Trust Company AG), Murdoch and Company, Republic Nominees Limited (f/k/a HSBC Private Banking Nominee 1 (Jersey) Limited), Robinson & Co., Somers Nominees (Far East) Limited. (Moloney, Thomas)
3049	09/09/2020	Letter in Response to Defendants' September 1, 2020 Letter (related document(s)3048) Filed by David Elsberg on behalf of Fairfield Lambda Limited (In Liquidation), Fairfield Sentry Limited (In Liquidation), Fairfield Sigma Limited (In Liquidation). (Attachments: # 1Appendix A)(Elsberg, David)
3051	09/15/2020	Letter to Hon. Stuart M. Bernstein from Thomas J. Moloney, dated September 15, 2020, in response to the Liquidators' September 9,2020 letter (related document(s)3049) Filed by Thomas J. Moloney on behalf of HSBC Bank Bermuda Limited, HSBC Bank USA NA (sued as HSBC Bank USA), HSBC Institutional Trust Services (Asia) Limited, HSBC International Trustee Limited, HSBC Latin America Holdings(UK) Limited, HSBC Private Bank (C.I.) Limited (sued as HSBC Private Bank (Guernsey) Ltd), HSBC Private Bank (Suisse) SA, HSBC Securities Services

ECF Number	Date	Docket Text
		(Luxembourg) SA, HSBC Trust Company AG (f/k/a HSBC Guyerzeller Trust Company AG), Murdoch and Company, Republic Nominees Limited (f/k/a HSBC Private Banking Nominee 1 (Jersey) Limited), Robinson & Co., Somers Nominees (Far East) Limited.(Moloney, Thomas)
3053	09/21/2020	Letter in Further Support of the Liquidators' July 31, 2020 September 9, 2020 Letter Response (related document(s) 3044 3048,3051) Filed by David Elsberg on behalf of Fairfield Lambda Limited (In Liquidation), Fairfield Sentry Limited (In Liquidation), Fairfield Sigma Limited (In Liquidation). (Attachments: # 1 Appendix A - Relevant Adversary Proceedings)(Elsberg, David). Modified on 9/22/2020 to correct related document number and correct docket text. (Gomez, Jessica).
3061	11/30/2020	Transcript regarding Hearing Held on 03/27/2020 At 12:30 PM RE: Conference. Remote electronic access to the transcript is restricted until 3/1/2021. The transcript may be viewed at the Bankruptcy Court Clerks Office. [Transcription Service Agency: Veritext Legal Solutions.]. (See the Courts Website for contact information for the Transcription Service Agency.). Notice of Intent to Request Redaction Deadline Due By 12/7/2020. Statement of Redaction Request Due By 12/21/2020. Redacted Transcript Submission Due By 1/4/2021. Transcript access will be restricted through 3/1/2021. (Ramos, Jonathan)
3062	12/14/2020	Written Opinion Signed On 12/14/2020. Re: Memorandum Decision Granting In Part And Denying In Part Defendants Renewed Motion To Dismiss (related document(s)2902, 2910) (Barrett, Chantel)
3064	12/23/2020	Order Signed On 12/23/2020 Re: Extending Time To Settle Or Submit Orders. (Barrett, Chantel)
3065	01/11/2021	Letter to Hon. Stuart M. Bernstein from Nowell D. Bamberger, dated January 11, 2021, regarding former law clerk Filed by Nowell Bamberger on behalf of BNY AIS Nominees Limited, BNY Mellon International Ltd., HSBC Bank Bermuda Limited, HSBC Bank USA NA(sued as HSBC Bank USA), HSBC Institutional Trust Services (Asia) Limited, HSBC International Trustee Limited, HSBC Latin America Holdings (UK) Limited, HSBC Private Bank (C.I.) Limited (sued as HSBC Private Bank (Guernsey) Ltd), HSBC Private Bank (Suisse) SA,HSBC Securities Services (Luxembourg) SA, HSBC Trust Company AG (f/k/a HSBC Guyerzeller Trust Company AG), Murdoch and Company, PFPC Bank Limited, PFPC Bank Limited a/k/a PFPC International Bank Limited, PNC International Bank Limited, PNC International Bank Limited a/k/a BNY Mellon International

ECF Number	Date	Docket Text
		Bank, Republic Nominees Limited (f/k/a HSBC Private Banking Nominee 1 (Jersey) Limited), Robinson & Co., Somers Nominees (Far East) Limited, The Bank Of New York Mellon. (Bamberger, Nowell)
3066	01/13/2021	Letter to the Hon. Stuart M. Bernstein from the Parties re: Service of HSBC Private Bank (Suisse) S.A. Filed by David Elsberg on behalf of Fairfield Lambda Limited (In Liquidation), Fairfield Sentry Limited (In Liquidation), Fairfield Sigma Limited (In Liquidation).(Elsberg, David)
3067	01/27/2021	Order Signed On 1/27/2021 Re: Further Extending Time To Settle Or Submit Orders. (Barrett, Chantel)
3071	02/08/2021	Letter to the Hon. Stuart M. Bernstein from the Parties re: Competing Settle Orders Filed by David Elsberg on behalf of Fairfield Lambda Limited (In Liquidation), Fairfield Sentry Limited (In Liquidation), Fairfield Sigma Limited (In Liquidation). (Attachments: # 1 Exhibit1 (Plaintiffs' Proposed Order) # 2 Exhibit 2 (Feb. 1, 2021 email) # 3 Exhibit 3 (Defendants' Proposed Order) # 4 Exhibit 4 (Jan. 29, 2021 email) #5 Exhibit 5 (Redline of Proposed Orders))(Elsberg, David)
3072	02/17/2021	Letter to Hon. Stuart M. Bernstein from Nowell D. Bamberger, dated February 17, 2021, requesting reconsideration of one discrete aspect of Fairfield III Decision (related document(s)3062) Filed by Nowell Bamberger on behalf of HSBC Bank USA NA (sued as HSBC Bank USA), HSBC Private Bank (Suisse) SA, HSBC Securities Services (Luxembourg) SA. (Attachments: # 1 Appendix A - Moving Defendants # 2Appendix B - Additional Counsel # 3 Appendix C - Compendium of Foreign Authorities)(Bamberger, Nowell)
3073	02/17/2021	Letter Memorandum in Opposition to Defendants' Motion for Reargument. Filed by David Elsberg on behalf of Fairfield Lambda Limited (In Liquidation), Fairfield Sentry Limited (In Liquidation), Fairfield Sigma Limited (In Liquidation). (Attachments: # 1 Exhibit A: List of Applicable Proceedings # 2 Exhibit B: Joint Letter from Plaintiffs & Defendants to Hon. Stuart M. Bernstein, U.S.B.J. (Feb. 8, 2021) # 3Exhibit C: Letter from Defendants to Hon. Vernon S. Broderick, U.S.D.J., Fairfield Sentry Ltd. (In Liquidation) v. Citibank NA London, No. 19-cv-3911 (S.D.N.Y. Feb. 4, 2021))(Elsberg, David)
3074	02/19/2021	Letter to Hon. Stuart M. Bernstein, dated February 19, 2021, re: Settlement of Implementing Orders Filed by Marc J. Gottridge on behalf of Barclays Bank (Suisse) S.A.. (Attachments: # 1 Exhibit 1 - Defendants' Proposed Order # 2 Exhibit 2 - Redline Comparison with Plaintiffs' Proposed Order)(Gottridge, Marc)

ECF Number	Date	Docket Text
3075	02/19/2021	Letter Memorandum re: Competing Settle Orders. Filed by David Elsberg on behalf of Fairfield Lambda Limited (In Liquidation), Fairfield Sentry Limited (In Liquidation), Fairfield Sigma Limited (In Liquidation). (Attachments: # 1 Exhibit A (Plaintiffs' Proposed Order) # 2 Exhibit B (Redline of Proposed Orders) # 3 Exhibit C (3/27/2020 2:02 PM E-mail) # 4 Exhibit D (12/31/2020 Draft of Plaintiffs' Proposed Order))(Elsberg, David)
3076	02/22/2021	Order signed on 2/22/2021 Regarding Submission of Orders Resolving the Court's December 14, 2020 Memorandum Decision Granting in Part and Denying in Part Defendants' Renewed Motion to Dismiss (related document(s)3074, 3062). (Gomez, Jessica)
3080	02/23/2021	So Ordered Written Opinion Signed On 2/23/2021. Re: Memorandum Decision And Order Denying Motion For Reconsideration(related document(s)3072, 3062) (Barrett, Chantel)
3086	03/08/2021	Letter to Hon. Cecelia G. Morris from Nowell D. Bamberger, dated March 8, 2021, regarding stipulated order extending time to move for interlocutory appeal (related document(s)3080) Filed by Nowell Bamberger on behalf of HSBC Bank USA NA (sued as HSBC Bank USA), HSBC Private Bank (Suisse) SA, HSBC Securities Services (Luxembourg) SA. (Attachments: # 1 Stipulated [PROPOSED] Order # 2Exhibit A to Stipulated [PROPOSED] Order)(Bamberger, Nowell)
3089	03/08/2021	Stipulated order signed on 3/8/2021 granting extension of defendants deadline to file notice of appeal, extended to and including thirty-five (35) days from the date of the entry of the Reconsideration Order. (Kinchen, Gwen)
3090	03/16/2021	Letter to Hon. Cecelia G. Morris from David Elsberg, dated March 16, 2021, regarding Plaintiffs' proposed demonstratives for use at the March 17, 2021 conference. Filed by David Elsberg on behalf of Fairfield Lambda Limited (In Liquidation), Fairfield Sentry Limited (In Liquidation), Fairfield Sigma Limited (In Liquidation). (Attachments: # 1 Exhibit 1 - Hand-Up #1 (Case Chronology) # 2 Exhibit 2 - Hand-Up#2 (Action Status Chart))(Elsberg, David)

Dated: April 13, 2021
New York, New York

Respectfully submitted,

BROWN RUDNICK LLP

/s/ David J. Molton

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